

**Authority Budget of:**

**ADOPTED COPY**

**Bergen County Utilities Authority**

**State Filing Year**

**2020**

**For the Period:**

**January 1, 2020**

**to**

**December 31, 2020**

RECEIVED

2020 JAN 15 P 2:53

LOCAL GOVT SERVICES

**www.BCUA.org**

**Authority Web Address**

**APPROVED COPY**



RECEIVED

2019 NOV 25 P 12:59

LOCAL GOVT SERVICES

***Division of Local Government Services***

**2020 AUTHORITY BUDGET**

**Certification Section**

2020

**Bergen County Utilities Authority**  
(Name)

**AUTHORITY BUDGET**

**FISCAL YEAR: FROM January 1, 2020 TO December 31, 2020**

**For Division Use Only**

**CERTIFICATION OF APPROVED BUDGET**

*It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.*

*State of New Jersey  
Department of Community Affairs  
Director of the Division of Local Government Services*

By: Paul D. Cvent CPA, RMA Date: 12/5/2019

**CERTIFICATION OF ADOPTED BUDGET**

*It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.*

*State of New Jersey  
Department of Community Affairs  
Director of the Division of Local Government Services*

By: Paul D. Cvent CPA, RMA Date: 1/16/2020

# 2020 PREPARER'S CERTIFICATION

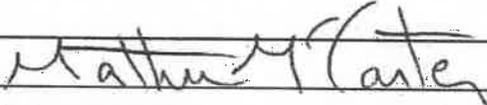
## Bergen County Utilities Authority (Name)

### AUTHORITY BUDGET

FISCAL YEAR: FROM: January 1, 2020 TO: December 31, 2020

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

Preparer's Signature:			
Name:	Mathew McCarter		
Title:	Acting Treasurer		
Address:	Foot of Mehrhof Road Little Ferry, NJ 07643		
Phone Number:	201-807-5819	Fax Number:	201-641-7915
E-mail address	mmccarter@bcua.org		

# 2020 APPROVAL CERTIFICATION

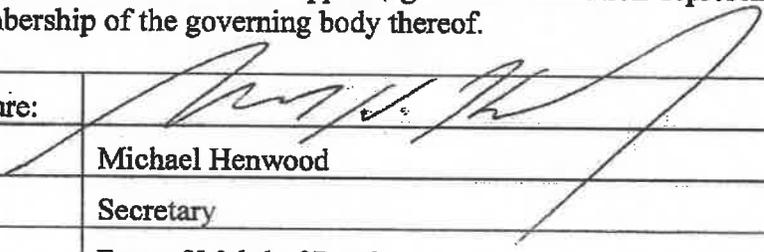
## Bergen County Utilities Authority (Name)

### AUTHORITY BUDGET

**FISCAL YEAR: FROM: January 1, 2020 TO: December 31, 2020**

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Bergen County Utilities Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 24<sup>th</sup> day of October, 2019.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	Michael Henwood		
Title:	Secretary		
Address:	Foot of Mehrhof Road Little Ferry, NJ 07643		
Phone Number:	201-807-8663	Fax Number:	201-641-7915
E-mail address	Mhenwood@bcua.org		

# INTERNET WEBSITE CERTIFICATION

**Authority's Web Address:**

All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- A description of the Authority's mission and responsibilities
- Budgets for the current fiscal year and immediately preceding two prior years
- The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information (**Similar Information is such as PIE Charts, Bar Graphs etc. for such items as Revenues, Expenditures, and other information the Authority deems relevant to inform the public**)
- The complete (All Pages) annual audits (Not the Audit Synopsis) of the most recent fiscal year and immediately two prior years
- The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction
- Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- The approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

Name of Officer Certifying compliance

Richard Wierer

Title of Officer Certifying compliance

Deputy Executive Director

Signature



**2020 AUTHORITY BUDGET RESOLUTION**

**BERGEN COUNTY UTILITIES AUTHORITY**

**WATER POLLUTION CONTROL**

**FISCAL YEAR: FROM: January 1, 2020 TO: December 31, 2020**

**WHEREAS**, the Annual Budget and Capital Budget – Water Pollution Control for the Bergen County Utilities Authority (the "Authority") for the fiscal year beginning January 1, 2020 and ending December 31, 2020 has been presented before the Board of Commissioners of the Authority at its duly noticed open public meeting conducted on October 24, 2019; and

**WHEREAS**, the Annual Budget – Water Pollution Control for the Authority as introduced reflects Total Revenues of \$76,997,016; Total Appropriations, including any Accumulated Deficit, if any, of \$77,997,016; and Total Unrestricted Net Position utilized of \$1,000,000; and

**WHEREAS**, the Capital Budget – Water Pollution Control of the Authority as introduced reflects Total Capital Appropriations of \$88,767,993; and Total Unrestricted Net Position planned to be utilized as funding thereof, of \$-0-; and

**WHEREAS**, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues, to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and

**WHEREAS**, the Capital Budget/Program – Water Pollution Control, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

**NOW, THEREFORE, BE IT RESOLVED** by the Board of Commissioners of the Bergen County Utilities Authority as follows:

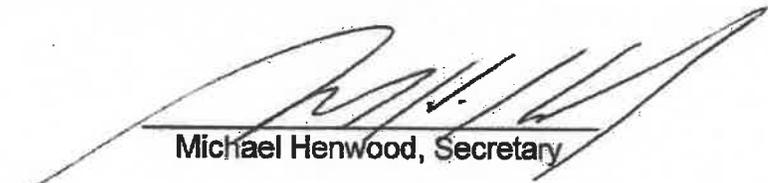
1. The Annual Budget – Water Pollution Control of the Authority, including all related schedules, and the Capital Budget/Program – Water Pollution Control of the Authority for the fiscal year beginning January 1, 2020 and ending December 31, 2020 shall be and is hereby approved for introduction.
2. The anticipated revenues as reflected in the Annual Budget – Water Pollution Control of the Authority approved for introduction by the adoption of this Resolution are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements.

3. The governing body of the Bergen County Utilities Authority will consider the Annual Budget and Capital Budget/Program – Water Pollution Control for adoption during its open public meeting scheduled to be conducted on December 19, 2019.

4. A copy of this Resolution shall be placed on file and made available for public inspection in the office of the Executive Director.

5. The formal action(s) of the Commissioners of Authority embodied herein are expressly contingent upon and subject to the provisions of N.J.S.A. 40:14B-14(b).

I hereby certify the foregoing to be a true copy of the Resolution adopted by the Bergen County Utilities Authority at its meeting of October 24, 2019.

  
Michael Henwood, Secretary

Dated: October 24, 2019

Governing Body Member:	Recorded Vote			
	Aye	Nay	Abstain	Absent
Ronald Phillips, Chairman	X			
Louis J. DeLisio, Vice Chairman	X			
Catherine T. Bentz, Commissioner	X			
Bruce Bonaventuro, Commissioner	X			
Daniel Gumble, Commissioner				X
Thomas S. Kelley, Commissioner	X			
Peter C. Massa, Jr., Commissioner	X			
Jon Warms, Commissioner	X			

**2020 AUTHORITY BUDGET RESOLUTION  
BERGEN COUNTY UTILITIES AUTHORITY  
SOLID WASTE MANAGEMENT**

**FISCAL YEAR: FROM: January 1, 2020 TO: December 31, 2020**

**WHEREAS**, the Annual Budget and Capital Budget – Solid Waste Management for the Bergen County Utilities Authority (the "Authority") for the fiscal year beginning January 1, 2020 and ending December 31, 2020 has been presented before the Board of Commissioners of the Authority at its duly noticed open public meeting conducted on October 24, 2019; and

**WHEREAS**, the Annual Budget – Solid Waste Management of the Authority as introduced reflects Total Revenues of \$8,598,597, Total Appropriations, including any Accumulated Deficit if any, of \$9,252,734, and Total Unrestricted Net Position utilized of \$654,137; and

**WHEREAS**, the Capital Budget – Solid Waste Management of the Authority as introduced reflects Total Capital Appropriations of \$-0- and Total Unrestricted Net Position planned to be utilized as funding thereof, of \$-0-; and

**WHEREAS**, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues, to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and

**WHEREAS**, the Capital Budget/Program – Solid Waste Management, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

**NOW, THEREFORE, BE IT RESOLVED** by the Board of Commissioners of the Bergen County Utilities Authority as follows:

1. The Annual Budget – Solid Waste Management of the Authority, including all related schedules, and the Capital Budget/Program – Solid Waste Management of the Authority for the fiscal year beginning January 1, 2020 and ending December 31, 2020 shall be and is hereby approved for introduction.
2. The anticipated revenues as reflected in the Annual Budget – Solid Waste Management of the Authority approved for introduction by the adoption of this Resolution are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and

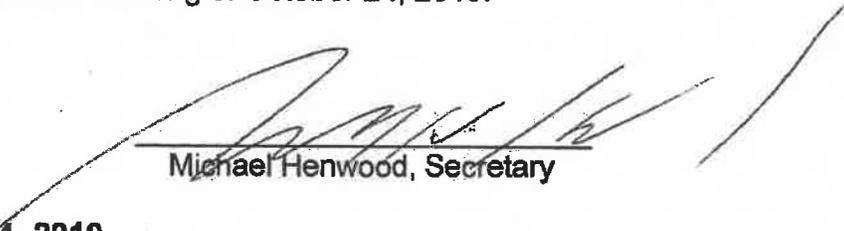
provisions as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements.

3. The governing body of the Bergen County Utilities Authority will consider the Annual Budget and Capital Budget/Program – Solid Waste Management for adoption during its open public meeting scheduled to be conducted on December 19, 2019.

4. A copy of this Resolution shall be placed on file and made available for public inspection in the office of the Executive Director.

5. The formal action(s) of the Commissioners of Authority embodied herein are expressly contingent upon and subject to the provisions of N.J.S.A. 40:14B-14(b).

I hereby certify the foregoing to be a true copy of the Resolution adopted by the Bergen County Utilities Authority at its meeting of October 24, 2019.

  
Michael Henwood, Secretary

Dated: October 24, 2019

Governing Body Member:	Recorded Vote			
	Aye	Nay	Abstain	Absent
Ronald Phillips, Chairman	X			
Louis J. DeLisio, Vice Chairman	X			
Catherine T. Bentz, Commissioner	X			
Bruce Bonaventuro, Commissioner	X			
Daniel Gumble, Commissioner				X
Thomas S. Kelley, Commissioner	X			
Peter C. Massa, Jr., Commissioner	X			
Jon Warms, Commissioner	X			

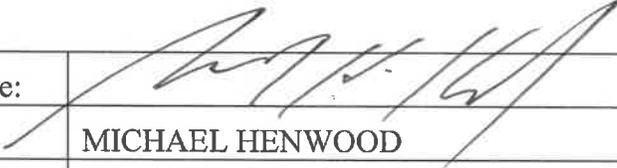
# 2020 (2020-2021) ADOPTION CERTIFICATION

## BERGEN COUNTY UTILITIES AUTHORITY

### AUTHORITY BUDGET

FISCAL YEAR: FROM: JANUARY 1, 2020 TO: DECEMBER 31, 2020

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the Bergen County Utilities Authority, pursuant to N.J.A.C. 5:31-2.3, on the 19th day of December, 2019.

Officer's Signature:			
Name:	MICHAEL HENWOOD		
Title:	SECRETARY		
Address:	FOOT OF MEHRHOF ROAD LITTLE FERRY, NJ 07643		
Phone Number:	201-807-8663	Fax Number:	201-641-7915
E-mail address	MHENWOOD@BCUA.ORG		

**2020 ADOPTED BUDGET RESOLUTION  
BERGEN COUNTY UTILITIES AUTHORITY  
SOLID WASTE MANAGEMENT**

**FISCAL YEAR: FROM: January 1, 2020 TO: December 31, 2020**

**WHEREAS**, the Solid Waste Division Annual Budget and Capital Budget/Program for the Bergen County Utilities Authority (the "Authority") for the fiscal year beginning January 1, 2020 and ending December 31, 2020 has been presented for adoption before the governing body of the Authority at its open public meeting of December 19, 2019; and

**WHEREAS**, the Solid Waste Division Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

**WHEREAS**, the Solid Waste Division Annual Budget as presented for adoption reflects Total Revenues of \$8,598,597 Total Appropriations, including any Accumulated Deficit, if any, of \$9,252,734 and Total Unrestricted Net Position utilized of \$654,137; and

**WHEREAS**, the Capital Budget as presented for adoption reflects Total Capital Appropriations of \$0 and Total Unrestricted Net Position planned to be utilized of \$0.

**NOW, THEREFORE BE IT RESOLVED**, by the Board of Commissioners of the Bergen County Utilities Authority, as the governing body thereof, at an open public meeting held on December 19, 2019 that the Solid Waste Division Annual Budget and Capital Budget/Program of the Bergen County Utilities Authority for the fiscal year beginning January 1, 2020 and ending December 31, 2020 shall be and is hereby adopted and shall constitute appropriations for the purposes stated; and

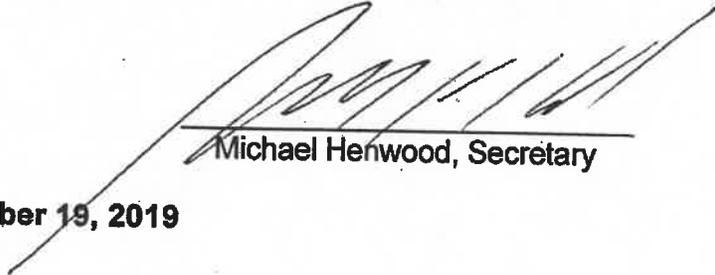
**BE IT FURTHER RESOLVED**, that the Solid Waste Division Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

**BE IT FURTHER RESOLVED**, that a copy of this Resolution shall be placed on file and made available for public inspection in the office of the Executive Director; and

**BE IT FURTHER RESOLVED**, that the formal action(s) of the Commissioners of Authority embodied herein are expressly contingent upon and subject to the provisions of N.J.S.A. 40:14B-14(b).

19-1-061

I hereby certify the foregoing to be a true copy of the Resolution adopted by the Bergen County Utilities Authority at its meeting of December 19, 2019.



Michael Herwood, Secretary

Dated: December 19, 2019



Governing Body  
Member:

Recorded Vote:

COMMISSIONERS	AYE	NO	ABSTAIN	NOT PRESENT
Ronald Phillips, Chairman	X			
Louis DeLisio, Vice Chairman	X			
Catherine T. Bentz				X
Bruce Bonaventuro	X			
Daniel Gumble				X
Thomas S. Kelley				X
Peter C. Massa, Jr.	X			
Diane T. Testa	X			
Jon Warms	X			

**2020 ADOPTED BUDGET RESOLUTION  
BERGEN COUNTY UTILITIES AUTHORITY  
WATER POLLUTION CONTROL**

**FISCAL YEAR: FROM: January 1, 2020 TO: December 31, 2020**

**WHEREAS**, the Water Pollution Control System Annual Budget and Capital Budget/Program for the Bergen County Utilities Authority (the "Authority") for the fiscal year beginning January 1, 2020 and ending December 31, 2020 has been presented for adoption before the governing body of the Authority at its open public meeting of December 19, 2019; and

**WHEREAS**, the Water Pollution Control System Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

**WHEREAS**, the Water Pollution Control System Annual Budget as presented for adoption reflects Total Revenues of \$76,997,016, Total Appropriations, including any Accumulated Deficit, if any, of \$77,997,016 and Total Unrestricted Net Position utilized of \$1,000,000; and

**WHEREAS**, the Capital Budget as presented for adoption reflects Total Capital Appropriations of \$88,767,993 and Total Unrestricted Net Position planned to be utilized of \$-0-; and

**NOW, THEREFORE BE IT RESOLVED**, by the Board of Commissioners of the Bergen County Utilities Authority, as the governing body thereof, at an open public meeting held on December 19, 2019, that the Water Pollution Control System Annual Budget and Capital Budget/Program of the Bergen County Utilities Authority for the fiscal year beginning January 1, 2020 and ending December 31, 2020 shall be and is hereby adopted and shall constitute appropriations for the purposes stated; and

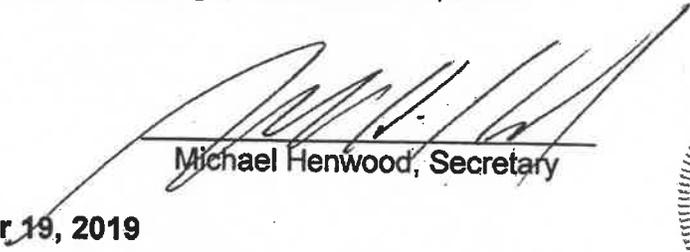
**BE IT FURTHER RESOLVED**, that the Water Pollution Control System Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

**BE IT FURTHER RESOLVED**, that a copy of this Resolution shall be placed on file and made available for public inspection in the office of the Executive Director; and

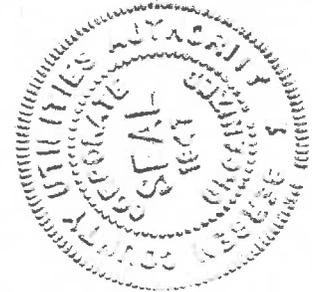
**BE IT FURTHER RESOLVED**, that the formal action(s) of the Commissioners of Authority embodied herein are expressly contingent upon and subject to the provisions of N.J.S.A. 40:14B-14(b).

19-1-062

I hereby certify the foregoing to be a true copy of the Resolution adopted by the Bergen County Utilities Authority at its meeting of December 19, 2019.

  
Michael Henwood, Secretary

Dated: December 19, 2019



Governing Body  
Member:

Recorded Vote:

COMMISSIONERS	AYE	NO	ABSTAIN	NOT PRESENT
Ronald Phillips, Chairman	X			
Louis DeLisio, Vice Chairman	X			
Catherine T. Bentz				X
Bruce Bonaventuro	X			
Daniel Gumble				X
Thomas S. Kelley				X
Peter C. Massa, Jr	X			
Diane T. Testa	X			
Jon Warmes	X			

**2020 AUTHORITY BUDGET**

**Narrative and Information Section**

**2020 AUTHORITY BUDGET MESSAGE & ANALYSIS**  
**Bergen County Utilities Authority**  
(Name)

**AUTHORIT-Y BUDGET**

**FISCAL YEAR: FROM: January 1, 2020 TO: December 31, 2020**

*Answer all questions below. Attach additional pages and schedules as needed.*

1. Complete a brief statement on the 2020/2020-2021 proposed Annual Budget and make comparison to the 2019/2019-2020 adopted budget for each Revenues and Appropriations. Explain any variances over +/-10% (As shown on budget pages F-2 and F-4 explain the reason for changes for each revenue and appropriation changing more than 10%) for each individual revenue and appropriation line item. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item.
2. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program. (Example Consider New Development projects such as Housing /Commercial projects impact on the Authorities expenses or revenues)
3. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget and or Capital Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.
4. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service payments, pilot payments, or other types of contracts or agreements (Example to provide police services to the Authority etc. and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).
5. The proposed budget must not reflect an anticipated deficit from 2020/2020-2021 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

**(Prepare a response to deficits in most recent audit report pertaining to Deficits to Unrestricted Net Position caused by recording Pension and Post-Employment Benefits liabilities as required by GASB 68 and GASB 75) and similar types of deficits in the audit report. How would these deficits be funded?**

6. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) if it has been changed since the prior year budget submission and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable. (If no changes to fees or rates indicate (Answer as "Rates Are Staying the Same")

BERGEN COUNTY UTILITIES AUTHORITY  
2020 BUDGET  
Page N-1 Addendum

1. A. Water Pollution Control Budget Revenue Highlights

- a) The proposed 2020 budget for Water Pollution Control decreased approximately \$12,952,000 or 14.2% when compared to the 2019 amended budget. The primary reasons for this are a decrease in Water Pollution Control appropriations of: i) \$11,300,000 for Renewal & Replacement Reserves and ii) \$1,165,000 for Costs of Providing Services – Other Expenses.
- b) Total Service Charges for Water Pollution Control will be unchanged in 2020 when compared with the 2019 budget.
- c) Connection Fees for Water Pollution Control are expected to decrease by \$6,000,000 or 84.5% in 2020 when compared with the 2019 amended budget, primarily due to a one time connection fee of \$6,000,000 from American Dream in 2019 which is not anticipated in 2020.
- d) 2020 Other Operating Revenues – Edgewater Debt Service Contribution decreased \$1,101,913 (or 100%) since the contractual obligation with Edgewater for this payment ended September 30, 2019.
- e) 2020 Interest Income increased \$450,000 (or 90%) due to increased rates of return on Authority investments.

1. B. Solid Waste Management Budget Revenue Highlights

- a) The proposed 2020 budget for Solid Waste Management increased approximately \$1,017,000 or 12.4% when compared to the 2019 amended budget.
- b) Service charges for Solid Waste Management will be increased by approximately \$1,415,000 or 24.4% when compared to the 2019 adopted budget, primarily due to induced tonnage and tipping fees associated with the Authority's Solid Waste Management Cooperative Marketing Program.
- c) 2020 Other Operating Revenues – Administrative Fees for Solid Waste increased \$6,777 (or 14%) based on tonnage anticipated in 2020.
- d) 2020 Other Operating Revenues – Miscellaneous Income for Solid Waste decreased \$15,000 (or 16%) based on 2019 projected amounts to be collected.
- e) 2020 Non-Operating Revenues – Recycling Grants for Solid Waste decreased \$389,000 (or 24%) based on grant awards anticipated.
- f) 2020 Interest Income increased \$60,000 (or 400%) due to increased rates of return on Authority investments.

1. C. 2020 Budget Appropriations Highlights

- a) The net decrease in 2020 Operating Appropriations – Fringe Benefits (Administration and Cost of Providing Services) is \$613,000 (or 4.2%). This is primarily due to a reclassification of certain health benefits costs between Administration and Cost of Providing Services.

BERGEN COUNTY UTILITIES AUTHORITY  
2020 BUDGET  
Page N-1 Addendum

- b) 2020 Administration Other – Information Technology increased \$135,000 (or 44.3%) due primarily to hardware and software upgrades anticipated in 2020.
- c) Cost of Providing Services – Other Expenses for 2020 were based on projected expenditures for 2019 as shown below:
  - i. Professional Fees decreased \$427,305 or 15.2%.
  - ii. Compliance increased \$105,000 or 35.0%.
  - iii. Direct Processing decreased \$287,400 or 16.9%.
  - iv. Contract Maintenance decreased \$40,000 or 40.0%.
  - v. Replacement Parts decreased \$600,525 or 27.5%.
  - vi. Safety Programs increased \$40,000 or 18.2%.
  - vii. Cooperative Marketing Program increased \$1,415,750 or 24.4%.
  - viii. Recycling Grants decreased \$443,755 or 38.0%.
  - ix. Trustee fees decreased \$95,000 or 34.5%.

The net change in Cost of Providing Services – Other Expenses is a decrease of \$257,910 (or 0.8%).

- d) 2020 net increase in Total Principal and Interest Payments on Debt Service is approximately \$40,000 (or 0.002%) based on actual principal and interest due for existing debt issues.
  - e) 2020 Non-Operating Appropriations – Renewal & Replacement Reserves decreased \$11,300,000 (or 91.9%) when compared to the 2019 amended budget. The original budget appropriations for Renewal & Replacement Reserves were increased \$12,300,000 by Authority Resolution 19-1-046 to Amend the 2019 Adopted Budget – Water Pollution Control for connection fees received in excess of the budgeted amounts: i) for the period January 1, 2005 through December 31, 2018 and, ii) from American Dream in 2019. The 2020 budget appropriations for Renewal & Replacement Reserves of \$1,000,000 represent additional projected connection fees to be received in excess of the amended budgeted amounts for the period January 1, 2019 through December 31, 2019, resulting in a decrease of \$11,300,000 from the prior year amended budget amount.
2. The local economy will have little impact on the proposed 2020 budget.
  3. Unrestricted Net Position of \$1,000,000 was utilized to balance the Water Pollution Control budget. Unrestricted Net Position of \$654,137 was utilized to balance the Solid Waste Management 2020 budget.
  4. There are no funds included in the 2020 budget for this purpose.
  5. The proposed budget does not reflect an anticipated deficit from 2020 operations. The Unrestricted Net Position as of December 31, 2018 reflects a deficit position to account for the Authority's proportionate share of the PERS multi-employer defined benefit plan sponsored and administered by the State of New Jersey. The Authority plans on funding the accrued pension liability based on actual amounts billed to the Bergen County Utilities Authority by the State of New Jersey Division of Pensions, as required.

BERGEN COUNTY UTILITIES AUTHORITY  
2020 BUDGET  
Page N-1 Addendum

6. The Authority's annual Water Pollution Control service charges are calculated in accordance with a formula contained in the Authority's Rules and Regulations. The Authority's existing rates are \$1,036.65 per 1,000,000 gallons of metered flow, \$402.18 per 1,000 pounds of BOD and \$346.10 per 1,000 pounds of TSS. At this time there is no change anticipated in the Authority's existing rate formula. The 2020 rates will be based on the 2020 budgeted service charges and 2019 actual usage.

The Authority's existing 2020 connection fee rate per equivalent dwelling unit is \$2,285. This rate is updated annually in accordance with state statutes.

The Authority's existing rate for solid waste disposal services is \$65.89 per ton plus an administrative fee of \$0.50 per ton which will remain in effect through May 31, 2020. On June 1, 2020 the rate for solid waste disposal is anticipated to increase by \$2.66 per ton.

## AUTHORITY CONTACT INFORMATION 2020

Please complete the following information regarding this Authority. All information requested below must be completed.

<b>Name of Authority:</b>	Bergen County Utilities Authority		
<b>Federal ID Number:</b>	22-6002429		
<b>Address:</b>	Foot of Mehrhof Road		
<b>City, State, Zip:</b>	Little Ferry	NJ	07643
<b>Phone: (ext.)</b>	201-807-8663	<b>Fax:</b>	201-641-7915

<b>Preparer's Name:</b>	Mathew McCarter		
<b>Preparer's Address:</b>	Foot of Mehrhof Road		
<b>City, State, Zip:</b>	Little Ferry	NJ	07643
<b>Phone: (ext.)</b>	201-807-5819	<b>Fax:</b>	201-641-7915
<b>E-mail:</b>	mmccarter@bcua.org		

<b>Chief Executive Officer:(1)</b>	Robert E. Laux		
(1) Or person who performs these functions under another Title			
<b>Phone: (ext.)</b>	201-807-5802	<b>Fax:</b>	201-641-5356
<b>E-mail:</b>	rlaux@bcua.org		

<b>Chief Financial Officer(1)</b>	Mathew McCarter		
(1) Or person who performs these functions under another Title			
<b>Phone: (ext.)</b>	201-807-5819	<b>Fax:</b>	201-641-7915
<b>E-mail:</b>	mmccarter@bcua.org		

<b>Name of Auditor:</b>	Gary Vinci, CPA, RMA		
<b>Name of Firm:</b>	Lerch, Vinci & Higgins, LLP		
<b>Address:</b>	17-17 Route 208 North		
<b>City, State, Zip:</b>	Fair Lawn	NJ	07410
<b>Phone: (ext.)</b>	201-791-7100	<b>Fax:</b>	201-791-3035
<b>E-mail:</b>	gvinci@lvhcpa.com		

# AUTHORITY INFORMATIONAL QUESTIONNAIRE

## Bergen County Utilities Authority

(Name)

FISCAL YEAR: FROM: January 1, 2020 TO: December 31, 2020

Answer all questions below completely and attach additional information as required.

- 1) Provide the number of individuals employed in (Use Most Recent W-3 Available 2018 or 2019) as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: 190
- 2) Provide the amount of total salaries and wages as reported on the Authority's Form W-3, (Use Most Recent W-3 Available 2018 or 2019) Transmittal of Wage and Tax Statements: \$15,627,087
- 3) Provide the number of regular voting members of the governing body: 9 (Even if not all commissioners have been appointed (Total Commissioners are either 5 or 7 (Regional Authorities may have more than 7 members) s per statute for your Authority)
- 4) Provide the number of alternate voting members of the governing body: -0- (Maximum is 2)
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? No If "yes," attach a description of the relationship including the names of the individuals involved and their positions at the Authority.
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year (Most Recent Filing that March 31, 2019 or 2020 deadline has passed 2019 or 2020) because of their relationship with the Authority file the form as required? (Checked to see if individuals actually filed at <http://www.state.nj.us/dca/divisions/dlgs/resources/fds.html> before answering) Yes If "no," provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? No If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.
- 8) Was the Authority a party to a business transaction with one of the following parties:
  - a. A current or former commissioner, officer, key employee, or highest compensated employee? No
  - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? No
  - c. An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? NoIf the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. No If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.
- 10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. **Attach a narrative of your Authorities procedures for all individuals listed on Page N-4 (2 of 2).** - See Attached

- 11) Did the Authority pay for meals or catering during the current fiscal year? Yes If "yes," attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.
- 12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? Yes If "yes," attach a detailed list of all travel expenses for the current fiscal year and provide an explanation for each expenditure listed.
- 13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority?
- a. First class or charter travel No
  - b. Travel for companions No
  - c. Tax indemnification and gross-up payments No
  - d. Discretionary spending account No
  - e. Housing allowance or residence for personal use No
  - f. Payments for business use of personal residence No
  - g. Vehicle/auto allowance or vehicle for personal use No
  - h. Health or social club dues or initiation fees No
  - i. Personal services (i.e.: maid, chauffeur, chef) No
- If the answer to any of the above is "yes," attach a description of the transaction including the name and position of the individual and the amount expended.
- 14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? Yes If "no," attach an explanation of the Authority's process for reimbursing employees and commissioners for expenses. (If your authority does not allow for reimbursements indicate that in answer)
- 15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? No If "yes," attach explanation including amount paid.
- 16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? No If "yes," attach explanation including amount paid.
- 17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? Yes If "no," attach a description of the Authority's plan to ensure compliance with its Continuing Disclosure Agreements in the future. (If no bonded Debt answer is Not Applicable). (New Jersey Infrastructure Trust Loans are not bonded debt of an Authority)
- 18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? No If "yes," attach explanation as to why the Authority has not yet undertaken the required maintenance or repairs and describe the Authority's plan to address the conditions identified.
- 19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? Yes If "yes," attach a description of the event or condition that resulted in the fine or assessment and indicate the amount of the fine or assessment.

### N-3 Attachments

10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. Attach narrative.

The BCUA's process for determining compensation for the persons listed on Page N-4 that receive compensation is dependent on the listed individual's position. No commissioners receive compensation. The compensation for the Executive Director, Deputy Executive Director, Director of Engineering and the Personnel Director are set by written employment contracts. The compensation for the Acting Treasurer and Director of Water Pollution Control is set by review and approval by a committee of the Board of Commissioners. The compensation for the top five highly compensated employees, exclusive of the Personnel Director, is set by a collective bargaining agreement.

11) See additional pages included

12) See additional pages included

19) The BCUA received an NOV for air quality in January 2019 from the NJDEP related to an occurrence in 2016. The BCUA has submitted a response and no penalties have been assessed to date.

The NJDEP has levied penalties and has proposed settlements payments for TSS, CBOD and IC25 Toxicity exceedances related to wastewater treatment. The BCUA has entered into discussions with the DEP regarding these exceedances and the final determination of penalties.

N-3 ATTACHMENT

Local Finance Board  
 Section A- Budget & Operations  
 Date Range: 1/1/2019 - 10/31/2019

11) Did the Authority pay for meals or catering during the current fiscal year? If "yes" attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.

Vendor Paid	Date Paid	Employee			Finance System		Inventory /		Solid Waste		Total
		Appreciation Events	Installation Training Meetings	Commissioners Meetings	Engineering Meetings	Public Education Meetings	Public Education Meetings				
BAGEL STORE CORP.	1/23/2019		\$ 330.00	\$ 164.94					\$ 494.94		
Bartolomeo's Caterers	1/24/2019			\$ 297.44					\$ 297.44		
BAGEL STORE CORP.	1/31/2019		\$ 550.00	\$ 54.98					\$ 604.98		
IN THYME	1/31/2019				\$ 211.25				\$ 211.25		
ZIO'S	1/31/2019		\$ 74.00	\$ 180.00					\$ 254.00		
BAGEL STORE CORP.	2/28/2019		\$ 185.63			\$ 453.75			\$ 639.38		
IN THYME	3/14/2019			\$ 394.00					\$ 394.00		
BAGEL STORE CORP.	3/21/2019			\$ 146.06				\$ 387.75	\$ 533.81		
IN THYME	3/28/2019							\$ 320.00	\$ 320.00		
Bartolomeo's Caterers	4/15/2019			\$ 175.00					\$ 175.00		
BAGEL STORE CORP.	4/15/2019			\$ 138.60					\$ 138.60		
BAGEL STORE CORP.	4/15/2019		\$ 380.33						\$ 380.33		
Bartolomeo's Caterers	5/2/2019			\$ 175.00					\$ 175.00		
BAGEL STORE CORP.	5/2/2019		\$ 495.00	\$ 142.87					\$ 637.87		
Bartolomeo's Caterers	6/6/2019			\$ 247.11					\$ 247.11		
BAGEL STORE CORP.	6/20/2019		\$ 445.50						\$ 445.50		
BAGEL STORE CORP.	6/27/2019		\$ 497.31	\$ 142.87					\$ 640.18		
DAW CONCESSIONS, INC	7/17/2019	\$ 950.00							\$ 950.00		
Bartolomeo's Caterers	7/25/2019			\$ 279.14					\$ 279.14		
Bartolomeo's Caterers	8/1/2019			\$ 197.41					\$ 197.41		

N-3 ATTACHMENT

Local Finance Board  
 Section A- Budget & Operations  
 Date Range: 1/1/2019 - 10/31/2019

1.1) Did the Authority pay for meals or catering during the current fiscal year? If "yes" attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.

Vendor Paid	Date Paid	Employee Appreciation Events	Finance System Installation Training Meetings	Commissioners Meetings	Inventory / Engineering Meetings	Solid Waste Public Education Meetings	Total
Bartolomeo's Caterers	8/29/2019			\$ 297.95			\$ 297.95
BAGEL STORE CORP.	8/29/2019		\$ 445.50	\$ 142.87	\$ 185.63		\$ 774.00
BAGEL STORE CORP.	9/19/2019		\$ 725.45	\$ 175.25			\$ 900.70
Bartolomeo's Caterers	9/26/2019	\$ 1,750.00					\$ 1,750.00
Bartolomeo's Caterers	10/3/2019			\$ 298.44			\$ 298.44
BAGEL STORE CORP.	10/3/2019		\$ 724.00	\$ 54.98			\$ 778.98
CONTENT PARTY RENT/	10/10/2019	\$ 452.10					\$ 452.10
TORINO PIZZERIA	10/17/2019		\$ 120.49				\$ 120.49
BAGEL STORE CORP.	10/31/2019		\$ 569.25	\$ 54.98			\$ 624.23
		\$ 3,152.10	\$ 5,542.46	\$ 3,759.89	\$ 396.88	\$ 1,161.50	\$ 14,012.83

**N-3 ATTACHMENT**

Local Finance Board  
 Section A- Budget & Operations  
 Date Range: 1/1/2019 - 10/31/2019

12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? If "yes" attach a detailed list of all travel expenses for the current fiscal year and provide explanation for each expenditure listed

<u>EMPLOYEE NAME</u>	<u>Transportation</u>	<u>Lodging</u>	<u>TOTAL</u>
<u>ASKEW, STEPHEN</u>			
2019 Water Environment Federation Technical Exhibition Conference	\$ 615.12	\$ 1,532.35	\$ 2,147.47
2019 NJ Water Environment Association Conference	\$ 133.60	\$ 316.06	\$ 449.66
2018 NJ League of Municipalities	\$	\$ 240.00	\$ 240.00
<u>BONAVENTURO, BRUCE</u>			
2018 NJ League of Municipalities	\$	\$ 240.00	\$ 240.00
<u>DELISIO, LOUIS</u>			
2018 NJ League of Municipalities	\$	\$ 120.00	\$ 120.00
<u>DISALVO, DOMINIC</u>			
2019 NJ Water Environment Association Conference	\$ 54.00	\$ 162.25	\$ 216.25
2019 Water Environment Federation Technical Exhibition Conference	\$ 496.49	\$ 1,092.70	\$ 1,589.19
2018 NJ League of Municipalities	\$	\$ 240.00	\$ 240.00
<u>KELLEY, THOMAS</u>			
2018 NJ League of Municipalities	\$	\$ 360.00	\$ 360.00
<u>LAUX, ROBERT</u>			
2019 NJ State Bar Association Conference	\$ 59.14	\$ 320.00	\$ 379.14
2019 NJ Water Environment Association Conference	\$ 20.00	\$ 256.21	\$ 276.21
2019 NJ League of Municipalities	\$	\$ 244.00	\$ 244.00
2018 NJ League of Municipalities	\$	\$ 240.00	\$ 240.00

**N-3 ATTACHMENT**

Local Finance Board  
 Section A- Budget & Operations  
 Date Range: 1/1/2019 - 10/31/2019

12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? If "yes" attach a detailed list of all travel expenses for the current fiscal year and provide explanation for each expenditure listed

<u>EMPLOYEE NAME</u>	<u>Transportation</u>	<u>Lodging</u>	<u>TOTAL</u>
<u>MASSA, PETER</u> 2018 NJ League of Municipalities	\$ 139.84 \$	240.00 \$	379.84
<u>PHILLIPS, RONALD</u> 2019 NJ Water Environment Association Conference	\$ 226.70 \$	302.38 \$	529.08
<u>WARMS, JON</u> 2019 Water Environment Federation Technical Exhibition Conference	\$ 424.88 \$	1,081.67 \$	1,506.55
2019 NJ Water Environment Association Conference	\$ 192.67 \$	319.56 \$	512.23
2018 NJ League of Municipalities	\$	360.00 \$	360.00
<u>WIERER, RICHARD</u> 2018 NJ League of Municipalities	\$	120.00 \$	120.00
<b>TOTALS</b>	<b>\$ 2,362.44 \$</b>	<b>7,787.18 \$</b>	<b>10,149.62</b>

*(This page is directions for filling in page (N-4 (2-of 2) ) (No answers should be entered on this page)*

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,  
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS**

**Bergen County Utilities Authority**

(Name)

**FISCAL YEAR: FROM: January 1, 2020 TO: December 31, 2020**

*Complete the attached table for all persons required to be listed per #1-4 below.*

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

**Commissioner:** A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

**Officer:** A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

**Key employee:** An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and other public entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

**Highest compensated employee:** One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and other public entities is greater than \$100,000 for the most recent fiscal year completed.

**Compensation:** All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

**Reportable compensation:** (Use the Most Recent W-2 available 2018 or 2019. The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2020, the most recent W-2 and 1099 should be used 2019 or 2018 (60 days prior to start of budget year is November 1, 2019, with 2018 being the most recent calendar year ended), and for fiscal years ending June 30, 2020, the calendar year 2019 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2019, with 2019 being the most recent calendar year ended).

**Other Public Entity:** Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

**Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)**

Bergen County Utilities Authority  
December 31, 2020

For the Period January 1, 2020 to December 31, 2020

Name	Title	Average Hours per Week Dedicated to Position	Commissioner	Key Employee	Highest Compensated Employee	Reportable Compensation from Authority (N-2/1099)		Base Salary/ Stipend	Bonus	Other (retro allowances, expense account, payments in lieu of health benefits, etc.)	Estimated amount of other compensation from Authority (health benefits, pension, etc.)	Names of Other Public Entities where individual is an employee or member of the governing body (1) See note below	Positions held at Other Public Entities Listed in Column G	Average Hours per Week Dedicated to Other Public Entities Listed in Column G	Reportable Compensation from Other Public Entities (N-2/1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, etc.)	Total Compensation All Public Entities
						Former	Current										
1 Ronald Phillips	Chairman	3	x				\$	\$									\$ 5,000
2 Bantz, Catherine T.	Commissioner	3	x				0	0	0	0	0	Borough of Woodbridge	Fire Fighter	10 per week	5,000	0	30,420
3 Bonaventuro, Bruce	Commissioner	3	x				0	0	0	0	0	Borough of Paramus	Crossing Guard	32.5 per week	30,420	0	8,800
3 Bonaventuro, Bruce	Commissioner	3	x				n/a	n/a	n/a	n/a	0	Borough of Fairview	Wastewater Operator	4 per week	8,800	0	8,800
3 Bonaventuro, Bruce	Commissioner	3	x				n/a	n/a	n/a	n/a	0	Borough of Palisades Park	Wastewater Operator	3 per week	5,500	0	5,500
3 Bonaventuro, Bruce	Commissioner	n/a	x				0	0	0	0	0	Fairview Board of Education	Interim Supervisor	95	109,000	0	109,000
4 DeLisio, Louis J.	Vice Chairman	3					n/a	n/a	n/a	n/a	0	Borough of Northvale	Councilman	7	8,900	0	3,900
4 DeLisio, Louis J.	Vice Chairman	n/a					0	0	0	0	0	Bergen County WF Dwp Board	Advisory Member	5	0	0	0
5 Gumble, Daniel	Commissioner	3	x				0	0	0	0	0	Borough of Hilldale	Fire Chief	20 per week	5,000	0	5,000
6 Kallow, Thomas	Commissioner	3	x				0	0	0	0	0	None	Planning Board Member	2	0	0	0
7 Misso, Peter	Commissioner	3	x				0	0	0	0	0	Borough of Tenafly	Chair of Environmental Commission	2	0	0	0
8 Warmis, Jon	Commissioner	3	x				n/a	n/a	n/a	n/a	0	Borough of Tenafly	Chair of Environmental Commission	2	0	0	0
8 Warmis, Jon	Commissioner	n/a					74,285	0	0	0	35,000	Borough of Edgewater	Councilman	5 per week	13,000	0	122,285
9 Henwood, Michael	Board Secretary	40	x				n/a	n/a	n/a	n/a	0	Borough of Edgewater	Volunteer Firefighter	2 per week	1,500	0	1,500
9 Henwood, Michael	Board Secretary	n/a					172,402	0	0	0	35,000	None	N/A	0	0	0	207,402
10 Lau, Robert E.	Executive Director	40	x				128,288	0	0	0	35,000	None	N/A	0	0	0	163,288
11 McCarter, Matthew	Acting Treasurer	40	x				156,279	0	0	0	35,000	None	N/A	0	0	0	191,279
12 Wlter, Richard	Deputy Executive Director	40	x				153,875	0	0	0	35,000	None	N/A	0	0	0	188,875
13 Akew, Stephen	Director of Water Pollution Cont	40	x				152,710	0	0	0	35,000	None	N/A	0	0	0	187,710
14 DiSivo, Dominic	Director of Engineering	40	x				118,563	0	0	0	35,000	None	N/A	0	0	0	153,563
15 Carraresi, Michael	Supervisor Sewage Plant Operat	40	x				118,563	0	0	0	35,000	None	N/A	0	0	0	153,563
16 Alvarado, Eric	Chief Sewage Plant Operator	40	x				118,563	0	0	0	35,000	None	N/A	0	0	0	153,563
17 Bradley, Paul	Maintenance Supervisor	40	x				118,563	0	0	0	35,000	None	N/A	0	0	0	153,563
18 Tufano, James	Supervising Sewage Plant Operat	40	x				131,378	0	0	0	35,000	Borough of New Milford	Volunteer Firefighter	Varies	0	0	166,378
19 Logan, Thomas	Personnel Administrator	40	x				0	0	0	0	0	None	N/A	0	0	0	0
22							\$ 1,483,469	\$	\$	\$	\$ 1,828,469			\$	\$ 376,120	\$	\$ 2,004,589

(1) Insert "None" in this column for each individual that does not hold a position with another Public Entity

# Schedule of Health Benefits - Detailed Cost Analysis

Bergen County Utilities Authority

For the Period January 1, 2020 to December 31, 2020

If Not Applicable X this box Below

	# of Covered Members (Medical & Rx)		Annual Cost Estimate per Employee		Total Cost Estimate Proposed Budget	# of Covered Members (Medical & Rx) Current Year	Annual Cost per Employee Current Year	Total Prior Year Year Cost	\$ Increase (Decrease)	% Increase (Decrease)
	Budget	Proposed	Budget	Proposed						
<b>Active Employees - Health Benefits - Annual Cost</b>										
Single Coverage	49	\$ 23,620	\$ 1,157,380	45	\$ 23,148	\$ 1,041,660	\$ 115,720	11.1%		
Parent & Child	13	34,249	445,237	12	33,564	402,768	42,469	10.5%		
Employee & Spouse (or Partner)	40	51,952	2,078,080	37	50,913	1,883,781	194,299	10.3%		
Family	63	62,593	3,943,359	57	61,341	3,496,437	446,922	12.8%		
Employee Cost Sharing Contribution (enter as negative - )			(1,187,000)			(1,163,958)	(23,042)	2.0%		
<b>Subtotal</b>	<b>165</b>		<b>6,437,056</b>	<b>151</b>		<b>5,660,688</b>	<b>776,368</b>	<b>13.7%</b>		
<b>Commissioners - Health Benefits - Annual Cost</b>										
Single Coverage									#DIV/0!	
Parent & Child									#DIV/0!	
Employee & Spouse (or Partner)									#DIV/0!	
Family									#DIV/0!	
Employee Cost Sharing Contribution (enter as negative - )									#DIV/0!	
<b>Subtotal</b>	<b>0</b>			<b>0</b>					<b>#DIV/0!</b>	
<b>Retirees - Health Benefits - Annual Cost</b>										
Single Coverage	59	11,173	659,207	59	10,838	639,442	19,765	3.1%		
Parent & Child	7	16,201	113,407	7	15,715	110,005	3,402	3.1%		
Employee & Spouse (or Partner)	54	24,576	1,327,104	54	23,839	1,287,306	39,798	3.1%		
Family	30	29,609	888,270	30	28,721	861,630	26,640	3.1%		
Employee Cost Sharing Contribution (enter as negative - )									#DIV/0!	
<b>Subtotal</b>	<b>150</b>		<b>2,987,988</b>	<b>150</b>		<b>2,898,383</b>	<b>89,605</b>	<b>3.1%</b>		
<b>GRAND TOTAL</b>	<b>315</b>		<b>\$ 9,425,044</b>	<b>301</b>		<b>\$ 8,559,071</b>	<b>\$ 865,973</b>	<b>10.1%</b>		

Is medical coverage provided by the SHBP (Yes or No)? (Place Answer in Box)  No  Yes or No

Is prescription drug coverage provided by the SHBP (Yes or No)? (Place Answer in Box)  No  Yes or No

**Note: Remember to Enter an amount in rows for Employee Cost Sharing**



## Schedule of Accumulated Liability for Compensated Absences - Detail

Bergen County Utilities Authority  
For the Period January 1, 2020 to December 31, 2020

Complete the below table for the Authority's accrued liability for compensated absences.  
X Box if Authority has no Compensated Absences

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at beginning of Current Year	Dollar Value of Accrued Compensated Absence Liability	Legal Basis for Benefit (check applicable items)		
			Approved Labor Agreement	Resolution	Individual Employment Agreement
<b>WATER POLLUTION CONTROL</b>					
ABBATE, DOMENICK	38.94	15,203.85	X		
ABRAHAMSEN, ERIC	5.88	19,410.91	X		
ABRAHAMSEN, TYLER S.	24.69	6,690.80	X		
ABREU-MORA, JESUS E.	12.19	2,652.30	X		
ACOSTA, RICHARD	0.59	143.12	X		
ALAMPI, CRYSTAL L.	1.50	420.36	X		
ALBOLINO, THOMAS F.	11.94	2,597.91	X		
ASHLEY, LAURA A.	0.07	24.64	X		
ASKEW, STEPHEN J.	29.88	19,033.83		X	
ATHILL, STEPHAN	6.63	1,404.69	X		
AUFIERO, VINCENT G.	38.31	11,907.80	X		
BABBINI, ROBERT J.	14.53	4,854.30	X		
BANEK, EDWARD A.	30.84	11,089.90	X		
BEEH, JAMES	58.50	30,099.35	X		
BELINSKY, DANIEL B.	25.41	9,821.87	X		
BELLOBUONO, MICHAEL A.	12.63	2,335.45	X		
BERGIN, CORINNE	24.94	10,164.68	X		
BERISHA, SUZANA	31.03	7,036.47	X		
BERTONE, KEVIN	30.00	36,084.45	X		
BETHON, RUSSELL W.	17.19	6,711.17	X		
BIRKNER, JOHN	31.00	32,699.75		X	
BLUNDO, JEROME J.	60.81	18,131.09	X		
BONGARD, LAWRENCE	4.45	2,242.82	X		
BONINO, ROBERT	51.00	55,791.29	X		
BOWERS, GILBERT A.	95.26	46,476.90	X		
BOYADJIAN, JOSEPH K.	27.62	9,726.86	X		
BRADLEY, PAUL P.	5.94	12,543.19	X		
BREEN, ALFRED	0.31	21,096.14	X		
BREONTE, CARMIN V.	7.74	2,754.71	X		
BREWER, JOHN T.	24.53	10,926.53	X		
BRIGLEY, KEVIN J.	25.91	12,188.21	X		
BRILLO, DAVID	4.19	17,121.08	X		
BROOKS, BRAXTON J.	8.00	1,696.22	X		
BUTTACAVOLE, DEBORAH	5.22	1,728.09	X		
CABRERA, MARC A.	6.69	1,120.75	X		
CALLAHAN, MICHAEL	51.56	18,962.33	X		
CAMMETT, MICHAEL R.	25.00	47,570.56	X		
CARFI, GIUSEPPE	45.66	37,261.83	X		
CARROLL, JAMES M.	12.88	4,242.25		X	
CARUSO, MICHAEL J.	35.00	6,474.50	X		
CASTANO, WILLIAM	33.50	5,614.25	X		
CAVALLO, LOUISE	7.34	21,766.37	X		
CHAIKET, THOM	8.06	11,957.53	X		
CHASE, STEVEN A.	1.81	7,910.32	X		

## Schedule of Accumulated Liability for Compensated Absences - Detail

Bergen County Utilities Authority  
For the Period January 1, 2020 to December 31, 2020

Complete the below table for the Authority's accrued liability for compensated absences.  
X Box if Authority has no Compensated Absences

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at beginning of Current Year	Dollar Value of Accrued Compensated Absence Liability	Legal Basis for Benefit (check applicable items)		
			Approved Labor Agreement	Resolution	Individual Employment Agreement
CHOINSKI, STEPHEN	30.59	39,780.86	X		
CIRKINYAN, GARABET V.	8.59	2,562.21	X		
CLAUBERG, CHRISTOPHER	11.84	12,346.26	X		
COCHRANE, ROBERT J.	32.59	9,540.90	X		
CORCORAN, SCOTT F.	3.69	992.39	X		
CULKIN, MICHAEL	4.00	1,262.17	X		
D'ARMINIO, LOUIS V.	32.50	13,541.08		X	
DELVECCHIO, EDWARD	8.41	3,154.96	X		
DELVECCHIO, II MICHAEL J.	1.63	300.60	X		
DENORCHIA, III SAMUEL M.	41.81	11,512.06	X		
DENTZAU, ERIK E.	20.38	7,876.83	X		
DERISI, NICHOLAS A.	11.50	1,927.28	X		
DEVRIES, PETER	57.41	33,364.21	X		
DILUCA, BRUCE	6.44	29,545.12	X		
DINICE, JOHN	31.00	55,687.72	X		
DISALVO, DOMINIC L	20.00	13,791.89			X
DOBROWSKI, STANLEY	0.09	21,587.31	X		
DUFFY, STEPHEN	29.59	31,433.83	X		
DUNAY, SEAN W.	9.09	12,389.02	X		
DUNNE, KRISTOFFER N.	53.06	18,214.92	X		
ECKERT, JOSEPH P.	18.13	6,452.86	X		
EGOROW, PETER	102.00	63,323.87	X		
FERRANDA, KENNETH	11.25	2,310.71	X		
FERRANTE, GUY J.	16.13	4,857.62	X		
FIERRO, GABRIEL J.	1.97	618.35	X		
FILARDO, JAMES P.	3.59	1,348.29	X		
FITZPATRICK, ROBERT	16.06	6,547.18	X		
FREDA, JAMES	50.81	16,198.45	X		
FUSCO, BRIAN J.	99.94	20,859.65	X		
GALGANO, KYLE J.	5.66	1,046.33	X		
GRISAFI, MICHAEL P.	23.56	6,290.53	X		
GUADAGNO, ANTHONY M.	42.22	16,321.46	X		
HAMILTON, GEORGE C.	62.66	18,783.16	X		
HANKINS, ISIAH	46.53	14,462.24	X		
HARRIS, DONALD R.	23.34	21,323.61	X		
HERRMANN, JOHN J.	13.63	22,902.11	X		
HOGAN, JOHN	1.66	442.17	X		
HUDSPETH, DAVID	10.50	36,770.16	X		
IBRAHIM, MOHAMAD F.	13.07	4,367.41	X		
KAMP, GLENN S.	4.53	838.22	X		
KHAN, STEVE P.	9.03	2,568.98	X		
KQIRA, ZEF	29.38	6,392.74	X		
KRONE, ALBERT M.	20.00	27,880.08	X		
LAMAR, CHRISTIAN R.	8.00	1,340.72	X		
LAUX, ROBERT E.	6.56	4,754.72			X

## Schedule of Accumulated Liability for Compensated Absences - Detail

Bergen County Utilities Authority  
For the Period January 1, 2020 to December 31, 2020

Complete the below table for the Authority's accrued liability for compensated absences.  
X Box if Authority has no Compensated Absences

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at beginning of Current Year	Dollar Value of Accrued Compensated Absence Liability	Legal Basis for Benefit (check applicable items)		
			Approved Labor Agreement	Resolution	Individual Employment Agreement
LEAVY, ARIELLE S.	43.66	12,233.99	X		
LENNOX, JOHN D.	10.61	8,736.60	X		
LOCASCIO, ANTHONY	4.94	1,046.89	X		
LOCASCIO, MICHAEL	1.28	36,941.14	X		
LOGAN, THOMAS	31.00	51,964.69			X
LUNA, JOSEPH	55.31	17,918.30	X		
MALONE, LAURENCE F.	47.38	15,826.07	X		
MARCHENA, ALEXANDER D.	7.34	1,557.08	X		
MARTINS, NELSON	67.50	25,333.49	X		
MCCARTER, MATHEW D.	4.78	2,603.16		X	
MCCARTHY, JAMES	31.00	64,894.40	X		
MCCLURE, DOUGLAS	18.34	20,435.02	X		
MCDONALD, TIMOTHY	30.66	27,571.01	X		
MCKEON, SEAN P.	45.84	16,483.16	X		
MELILLO, JAMES P.	54.69	19,262.62	X		
MERENDA, MICHAEL	30.00	40,701.92	X		
MERTURI, FILIP	29.16	8,692.87	X		
MEYERS, FRED J.	10.88	4,214.50	X		
MICHALSKI, PAULA	29.81	12,151.24	X		
MITCHELL, JOHN G.	8.56	3,203.28	X		
MITCHELL, JR JOHN G.	3.38	565.61	X		
MONFORTE, EUGENE	80.19	27,406.83	X		
MOORE, KALAYSHA D.	0.16	43.79	X		
MURPHY, BRIAN H.	11.11	3,886.14	X		
MURRAY, MICHAEL T.	11.25	4,005.23	X		
MURTAGH, MARTIN J.	15.66	5,257.09		X	
NESE, PETER A.	49.81	26,163.82	X		
ORTIZ, JESSICA	8.38	1,961.81		X	
OURY, DENNIS	50.54	18,484.13		X	
PALAZZI, ROBERT A.	8.09	4,251.21	X		
PARNOFIELLO, JOHN C.	7.88	1,617.51	X		
PAUL, WALTER E.	58.48	34,309.15		X	
PELC, ROMI	2.50	667.43	X		
PENNISI, ANTHONY D.	28.53	5,277.87	X		
PIERCE, THOMAS	7.00	1,173.13	X		
PLUCHINO, DAVID	8.63	23,434.83	X		
PYNN, STEVEN W.	46.16	17,080.47	X		
RAEFSKI, THOMAS	55.81	22,749.51	X		
RATKOWSKI, MICHAEL P.	55.41	16,478.69	X		
REAVES, TASHAN	10.00	2,120.27	X		
RIOS, ANGEL L.	6.94	2,469.89	X		
RIZZO, JONATHAN S.	13.00	2,178.66	X		
ROSARIO, JEFFREY	13.00	4,628.26	X		
ROSS, DENISE	0.38	119.39	X		
ROSS, JR KARL J.	16.25	3,806.50	X		





**2020 AUTHORITY BUDGET**

**Financial Schedules Section**

# SUMMARY

Bergen County Utilities Authority  
 For the Period January 1, 2020 to December 31, 2020

<b>FY 2020 Proposed Budget</b>										
	Pollution Control	Solid Waste Management	N/A	N/A	N/A	N/A	Total All Operations	FY 2019 Amended Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
								Total All Operations	All Operations	All Operations
<b>REVENUES</b>										
Total Operating Revenues	\$ 76,047,016	\$ 7,291,254	\$ -	\$ -	\$ -	\$ -	\$ 83,338,270	\$ 89,032,656	\$ (5,694,386)	-6.4%
Total Non-Operating Revenues	950,000	1,307,343	-	-	-	2,257,343	2,136,168	121,175		5.7%
Total Anticipated Revenues	76,997,016	8,598,597	-	-	-	85,595,613	91,168,824	(5,573,211)		-6.1%
<b>APPROPRIATIONS</b>										
Total Administration	8,503,718	263,002	-	-	-	8,766,720	7,915,093	851,627		10.8%
Total Cost of Providing Services	45,264,547	8,989,732	-	-	-	54,254,279	55,780,734	(1,526,455)		-2.7%
Total Principal Payments on Debt Service in Lieu of Depreciation	17,624,607	-	-	-	-	17,624,607	17,049,614	574,993		3.4%
Total Operating Appropriations	71,392,872	9,252,734	-	-	-	80,645,606	80,745,441	(99,835)		-0.1%
Total Interest Payments on Debt	4,104,144	-	-	-	-	4,104,144	4,638,564	(534,420)		-11.5%
Total Other Non-Operating Appropriations	2,500,000	-	-	-	-	2,500,000	13,800,000	(11,300,000)		-81.9%
Total Non-Operating Appropriations	6,604,144	-	-	-	-	6,604,144	18,438,564	(11,834,420)		-64.2%
Accumulated Deficit	-	-	-	-	-	-	-	-		#DIV/0!
Total Appropriations and Accumulated Deficit	77,997,016	9,252,734	-	-	-	87,249,750	99,184,005	(11,934,255)		-12.0%
Less: Total Unrestricted Net Position Utilized	1,000,000	654,137	-	-	-	1,654,137	8,015,181	(6,361,044)		-79.4%
Net Total Appropriations	76,997,016	8,598,597	-	-	-	85,595,613	91,168,824	(5,573,211)		-6.1%
<b>ANTICIPATED SURPLUS (DEFICIT)</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		#DIV/0!

## Revenue Schedule

### Bergen County Utilities Authority

For the Period January 1, 2020 to December 31, 2020

	<b>FY 2020 Proposed Budget</b>						<b>FY 2019 Amended Adopted Budget</b>	<b>\$ Increase (Decrease) Proposed vs. Adopted</b>	<b>% Increase (Decrease) Proposed vs. Adopted</b>	
	Water Pollution Control	Solid Waste Management	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	All Operations	All Operations
<b>OPERATING REVENUES</b>										
<i>Service Charges</i>										
Residential						\$ -	\$ -	\$ -	#DIV/0!	
Business/Commercial	2,963,597					2,963,597	2,545,647	417,950	16.4%	
Industrial	1,943,149					1,943,149	2,336,503	(393,354)	-16.8%	
Intergovernmental	68,185,270					68,185,270	68,209,866	(24,596)	0.0%	
Other		7,227,494				7,227,494	5,811,744	1,415,750	24.4%	
<b>Total Service Charges</b>	<b>73,092,016</b>	<b>7,227,494</b>				<b>80,319,510</b>	<b>78,903,760</b>	<b>1,415,750</b>	<b>1.8%</b>	
<i>Connection Fees</i>										
Residential	200,000					200,000	200,000	-	0.0%	
Business/Commercial	1,100,000					1,100,000	7,100,000	(6,000,000)	-84.5%	
Industrial						-	-	-	#DIV/0!	
Intergovernmental	95,000					95,000	95,000	-	0.0%	
Other						-	-	-	#DIV/0!	
<b>Total Connection Fees</b>	<b>1,395,000</b>					<b>1,395,000</b>	<b>7,395,000</b>	<b>(6,000,000)</b>	<b>-81.1%</b>	
<i>Parking Fees</i>										
Meters						-	-	-	#DIV/0!	
Permits						-	-	-	#DIV/0!	
Fines/Penalties						-	-	-	#DIV/0!	
Other						-	-	-	#DIV/0!	
<b>Total Parking Fees</b>						<b>-</b>	<b>-</b>	<b>-</b>	<b>#DIV/0!</b>	
<i>Other Operating Revenues (List)</i>										
IPP Permits	240,000					240,000	240,000	-	0.0%	
Sale of Treated Effluent	1,250,000					1,250,000	1,250,000	-	0.0%	
Edgewater Debt Service Contribution						-	1,101,913	(1,101,913)	-100.0%	
Administrative Fees		53,760				53,760	46,983	6,777	14.4%	
Miscellaneous Income	70,000	10,000				80,000	95,000	(15,000)	-15.8%	
Type In (Grant, Other Rev)						-	-	-	#DIV/0!	
Type in (Grant, Other Rev)						-	-	-	#DIV/0!	
Type In (Grant, Other Rev)						-	-	-	#DIV/0!	
Type In (Grant, Other Rev)						-	-	-	#DIV/0!	
Type In (Grant, Other Rev)						-	-	-	#DIV/0!	
Type In (Grant, Other Rev)						-	-	-	#DIV/0!	
<b>Total Other Revenue</b>	<b>1,560,000</b>	<b>63,760</b>				<b>1,623,760</b>	<b>2,733,896</b>	<b>(1,110,136)</b>	<b>-40.6%</b>	
<b>Total Operating Revenues</b>	<b>76,047,016</b>	<b>7,291,254</b>				<b>83,338,270</b>	<b>89,032,656</b>	<b>(5,694,386)</b>	<b>-6.4%</b>	
<b>NON-OPERATING REVENUES</b>										
<i>Other Non-Operating Revenues (List)</i>										
Recycling Grants		1,232,343				1,232,343	1,621,168	(388,825)	-24.0%	
Type in						-	-	-	#DIV/0!	
Type in						-	-	-	#DIV/0!	
Type in						-	-	-	#DIV/0!	
Type in						-	-	-	#DIV/0!	
Type in						-	-	-	#DIV/0!	
<b>Total Other Non-Operating Revenue</b>		<b>1,232,343</b>				<b>1,232,343</b>	<b>1,621,168</b>	<b>(388,825)</b>	<b>-24.0%</b>	
<i>Interest on Investments &amp; Deposits (List)</i>										
Interest Earned	950,000	75,000				1,025,000	515,000	510,000	99.0%	
Penalties						-	-	-	#DIV/0!	
Other						-	-	-	#DIV/0!	
<b>Total Interest</b>	<b>950,000</b>	<b>75,000</b>				<b>1,025,000</b>	<b>515,000</b>	<b>510,000</b>	<b>99.0%</b>	
<b>Total Non-Operating Revenues</b>	<b>950,000</b>	<b>1,307,343</b>				<b>2,257,343</b>	<b>2,136,168</b>	<b>121,175</b>	<b>5.7%</b>	
<b>TOTAL ANTICIPATED REVENUES</b>	<b>\$ 76,997,016</b>	<b>\$ 8,598,597</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 85,595,613</b>	<b>\$ 91,168,824</b>	<b>\$ (5,573,211)</b>	<b>-6.1%</b>	

## Prior Year Adopted Revenue Schedule

### Bergen County Utilities Authority

#### FY 2019 Amended Adopted Budget

	Water Pollution Control	Solid Waste Management	N/A	N/A	N/A	N/A	Total All Operations
<b>OPERATING REVENUES</b>							
<i>Service Charges</i>							
Residential							\$ -
Business/Commercial	2,545,647						2,545,647
Industrial	2,336,503						2,336,503
Intergovernmental	68,209,866						68,209,866
Other		5,811,744					5,811,744
<b>Total Service Charges</b>	<b>73,092,016</b>	<b>5,811,744</b>	-	-	-	-	<b>78,903,760</b>
<i>Connection Fees</i>							
Residential	200,000						200,000
Business/Commercial	7,100,000						7,100,000
Industrial							-
Intergovernmental	95,000						95,000
Other							-
<b>Total Connection Fees</b>	<b>7,395,000</b>	-	-	-	-	-	<b>7,395,000</b>
<i>Parking Fees</i>							
Meters							-
Permits							-
Fines/Penalties							-
Other							-
<b>Total Parking Fees</b>	-	-	-	-	-	-	-
<i>Other Operating Revenues (List)</i>							
IPP Permits	240,000						240,000
Sale of Treated Effluent	1,250,000						1,250,000
Edgewater Debt Service Contribution	1,101,913						1,101,913
Administrative Fees		46,983					46,983
Miscellaneous Income	70,000	25,000					95,000
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
Type in (Grant, Other Rev)							-
<b>Total Other Revenue</b>	<b>2,661,913</b>	<b>71,983</b>	-	-	-	-	<b>2,733,896</b>
<b>Total Operating Revenues</b>	<b>83,148,929</b>	<b>5,883,727</b>	-	-	-	-	<b>89,032,656</b>
<b>NON-OPERATING REVENUES</b>							
<i>Other Non-Operating Revenues (List)</i>							
Recycling Grants		1,621,168					1,621,168
Type in							-
Type in							-
Type in							-
Type in							-
Type in							-
<b>Total Other Non-Operating Revenues</b>	-	<b>1,621,168</b>	-	-	-	-	<b>1,621,168</b>
<i>Interest on Investments &amp; Deposits</i>							
Interest Earned	500,000	15,000					515,000
Penalties							-
Other							-
<b>Total Interest</b>	<b>500,000</b>	<b>15,000</b>	-	-	-	-	<b>515,000</b>
<b>Total Non-Operating Revenues</b>	<b>500,000</b>	<b>1,636,168</b>	-	-	-	-	<b>2,136,168</b>
<b>TOTAL ANTICIPATED REVENUES</b>	<b>\$ 83,648,929</b>	<b>\$ 7,519,895</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 91,168,824</b>

## Appropriations Schedule

Bergen County Utilities Authority

For the Period January 1, 2020 to December 31, 2020

	<b>FY 2020 Proposed Budget</b>						<b>FY 2019</b>	<b>\$ Increase</b>	<b>% Increase</b>
	Water Pollution	Solid Waste	N/A	N/A	N/A	N/A	<b>Amended</b>	<b>(Decrease)</b>	<b>(Decrease)</b>
	Control	Management				Total All	<b>Adopted</b>	<b>Proposed vs.</b>	<b>Proposed vs.</b>
					Operations	<b>Budget</b>	<b>Adopted</b>	<b>Adopted</b>	<b>Adopted</b>
						<b>Total All</b>	<b>All Operations</b>	<b>All Operations</b>	
<b>OPERATING APPROPRIATIONS</b>									
<i>Administration - Personnel</i>									
Salary & Wages	\$ 2,995,611	\$ 92,648				\$ 3,088,259	\$ 3,064,101	\$ 24,158	0.8%
Fringe Benefits	4,130,613	127,751				4,258,364	3,583,297	675,067	18.8%
<b>Total Administration - Personnel</b>	<b>7,126,224</b>	<b>220,399</b>				<b>7,346,623</b>	<b>6,647,398</b>	<b>699,225</b>	<b>10.5%</b>
<i>Administration - Other (List)</i>									
Director's Office	24,250	750				25,000	25,000	-	0.0%
Administrative	915,680	28,320				944,000	927,000	17,000	1.8%
Information Technology	427,864	13,233				441,097	305,695	135,402	44.3%
Finance Office	9,700	300				10,000	10,000	-	0.0%
Miscellaneous Administration*									#DIV/0!
<b>Total Administration - Other</b>	<b>1,377,494</b>	<b>42,603</b>				<b>1,420,097</b>	<b>1,267,695</b>	<b>152,402</b>	<b>12.0%</b>
<b>Total Administration</b>	<b>8,503,718</b>	<b>263,002</b>				<b>8,766,720</b>	<b>7,915,093</b>	<b>851,627</b>	<b>10.8%</b>
<i>Cost of Providing Services - Personnel</i>									
Salary & Wages	13,549,635	390,168				13,939,803	13,920,441	19,362	0.1%
Fringe Benefits	9,337,012	531,968				9,868,980	11,156,887	(1,287,907)	-11.5%
<b>Total COPS - Personnel</b>	<b>22,886,647</b>	<b>922,136</b>				<b>23,808,783</b>	<b>25,077,328</b>	<b>(1,268,545)</b>	<b>-5.1%</b>
<i>Cost of Providing Services - Other (List)</i>									
Other COPS Expense (see Sheet F-4a)	22,377,900	8,067,596				30,445,496	30,703,406	(257,910)	-0.8%
Type in Description									#DIV/0!
Type in Description									#DIV/0!
Type in Description									#DIV/0!
Miscellaneous COPS*									#DIV/0!
<b>Total COPS - Other</b>	<b>22,377,900</b>	<b>8,067,596</b>				<b>30,445,496</b>	<b>30,703,406</b>	<b>(257,910)</b>	<b>-0.8%</b>
<b>Total Cost of Providing Services</b>	<b>45,264,547</b>	<b>8,989,732</b>				<b>54,254,279</b>	<b>55,780,734</b>	<b>(1,526,455)</b>	<b>-2.7%</b>
<i>Total Principal Payments on Debt Service in Lieu of Depreciation</i>									
	17,624,607					17,624,607	17,049,614	574,993	3.4%
<b>Total Operating Appropriations</b>	<b>71,392,872</b>	<b>9,252,734</b>				<b>80,645,606</b>	<b>80,745,441</b>	<b>(99,835)</b>	<b>-0.1%</b>
<b>NON-OPERATING APPROPRIATIONS</b>									
Total Interest Payments on Debt	4,104,144					4,104,144	4,638,564	(534,420)	-11.5%
Operations & Maintenance Reserve									#DIV/0!
Renewal & Replacement Reserve	1,000,000					1,000,000	12,300,000	(11,300,000)	-91.9%
Municipality/County Appropriation									#DIV/0!
Other Reserves	1,500,000					1,500,000	1,500,000	-	0.0%
<b>Total Non-Operating Appropriations</b>	<b>6,604,144</b>					<b>6,604,144</b>	<b>18,438,564</b>	<b>(11,834,420)</b>	<b>-64.2%</b>
<b>TOTAL APPROPRIATIONS</b>	<b>77,997,016</b>	<b>9,252,734</b>				<b>87,249,750</b>	<b>99,184,005</b>	<b>(11,934,255)</b>	<b>-12.0%</b>
<b>ACCUMULATED DEFICIT</b>									
									#DIV/0!
<b>TOTAL APPROPRIATIONS &amp; ACCUMULATED DEFICIT</b>	<b>77,997,016</b>	<b>9,252,734</b>				<b>87,249,750</b>	<b>99,184,005</b>	<b>(11,934,255)</b>	<b>-12.0%</b>
<b>UNRESTRICTED NET POSITION UTILIZED</b>									
Municipality/County Appropriation									#DIV/0!
Other	1,000,000	654,137				1,654,137	8,015,181	(6,361,044)	-79.4%
<b>Total Unrestricted Net Position Utilized</b>	<b>1,000,000</b>	<b>654,137</b>				<b>1,654,137</b>	<b>8,015,181</b>	<b>(6,361,044)</b>	<b>-79.4%</b>
<b>TOTAL NET APPROPRIATIONS</b>	<b>\$ 76,997,016</b>	<b>\$ 8,598,597</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 85,595,613</b>	<b>\$ 91,168,824</b>	<b>\$ (5,573,211)</b>

\* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 3,569,643.60 \$ 462,636.70 \$ - \$ - \$ - \$ - \$ 4,032,280.30

## Appropriations Schedule

Bergen County Utilities Authority  
For the Period January 1, 2020 to December 31, 2020

	<b>FY 2020 Proposed Budget</b>						<b>FY 2019 Amended Adopted Budget</b>	<b>\$ Increase (Decrease) Proposed vs. Adopted</b>	<b>% Increase (Decrease) Proposed vs. Adopted</b>	
	Water Pollution Control	Solid Waste Management	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	All Operations All Operations	
<b>OPERATING APPROPRIATIONS</b>										
<i>Other COPS Expense</i>										
Professional Fees	2,321,500	66,000					2,387,500	2,814,805	(427,305)	-15.2%
Collection	965,000						965,000	910,000	55,000	6.0%
Compliance	405,000						405,000	300,000	105,000	35.0%
Facilities Cost	5,835,000						5,835,000	5,625,000	210,000	3.7%
Direct Processing	1,412,600						1,412,600	1,700,000	(287,400)	-16.9%
Waste Disposal	7,768,825						7,768,825	7,978,000	(209,175)	-2.6%
Contract Maintenance	60,000						60,000	100,000	(40,000)	-40.0%
Replacement Parts	1,579,475						1,579,475	2,180,000	(600,525)	-27.5%
Supplies	510,500						510,500	555,000	(44,500)	-8.0%
Safety Programs	260,000						260,000	220,000	40,000	18.2%
Insurance	1,080,000	34,000					1,114,000	1,050,000	64,000	6.1%
Cooperative Marketing		7,227,494					7,227,494	5,811,744	1,415,750	24.4%
Recycling Grants		725,102					725,102	1,168,857	(443,755)	-38.0%
Recycling Supplies		15,000					15,000	15,000	-	0.0%
Trustee Fees	180,000						180,000	275,000	(95,000)	-34.5%
<b>Total Other COPS Expense</b>	<b>22,977,900</b>	<b>8,067,596</b>					<b>30,445,496</b>	<b>30,703,406</b>	<b>(257,910)</b>	<b>-0.8%</b>

## Prior Year Adopted Appropriations Schedule

### Bergen County Utilities Authority

#### FY 2019 Amended Adopted Budget

	Water Pollution Control	Solid Waste Management	N/A	N/A	N/A	N/A	Total All Operations
<b>OPERATING APPROPRIATIONS</b>							
<i>Administration - Personnel</i>							
Salary & Wages	\$ 2,972,178	\$ 91,923					\$ 3,064,101
Fringe Benefits	3,475,798	107,499					3,583,297
Total Administration - Personnel	6,447,976	199,422	-	-	-	-	6,647,398
<i>Administration - Other (List)</i>							
Director's Office	24,250	750					25,000
Administrative	899,190	27,810					927,000
Information Technology	296,524	9,171					305,695
Finance Office	9,700	300					10,000
Miscellaneous Administration*							-
Total Administration - Other	1,229,664	38,031	-	-	-	-	1,267,695
Total Administration	7,677,640	237,453	-	-	-	-	7,915,093
<i>Cost of Providing Services - Personnel</i>							
Salary & Wages	13,461,707	458,734					13,920,441
Fringe Benefits	10,778,599	378,288					11,156,887
Total COPS - Personnel	24,240,306	837,022	-	-	-	-	25,077,328
<i>Cost of Providing Services - Other (List)</i>							
Other COPS Expense (see Sheet F-4a)	23,542,805	7,160,601					30,703,406
Type In Description							-
Type In Description							-
Type In Description							-
Miscellaneous COPS*							-
Total COPS - Other	23,542,805	7,160,601	-	-	-	-	30,703,406
Total Cost of Providing Services	47,783,111	7,997,623	-	-	-	-	55,780,734
Total Principal Payments on Debt Service in Lieu of Depreciation	17,049,614	-	-	-	-	-	17,049,614
Total Operating Appropriations	72,510,365	8,235,076	-	-	-	-	80,745,441
<b>NON-OPERATING APPROPRIATIONS</b>							
Total Interest Payments on Debt	4,638,564	-	-	-	-	-	4,638,564
Operations & Maintenance Reserve							-
Renewal & Replacement Reserve	12,300,000						12,300,000
Municipality/County Appropriation							-
Other Reserves	1,500,000						1,500,000
Total Non-Operating Appropriations	18,438,564	-	-	-	-	-	18,438,564
<b>TOTAL APPROPRIATIONS</b>	<b>90,948,929</b>	<b>8,235,076</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>99,184,005</b>
<b>ACCUMULATED DEFICIT</b>							
<b>TOTAL APPROPRIATIONS &amp; ACCUMULATED DEFICIT</b>	<b>90,948,929</b>	<b>8,235,076</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>99,184,005</b>
<b>UNRESTRICTED NET POSITION UTILIZED</b>							
Municipality/County Appropriation							-
Other	7,300,000	715,181					8,015,181
Total Unrestricted Net Position Utilized	7,300,000	715,181	-	-	-	-	8,015,181
<b>TOTAL NET APPROPRIATIONS</b>	<b>\$ 83,648,929</b>	<b>\$ 7,519,895</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 91,168,824</b>

\* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations    \$ 3,625,518.25    \$ 411,753.80    \$ -    \$ -    \$ -    \$ -    \$ 4,037,272.05

## Prior Year Adopted Appropriations Schedule

Bergen County Utilities Authority

*FY 2019 Amended Adopted Budget*

<b>OPERATING APPROPRIATIONS</b>	<b>Water Pollution Control</b>	<b>Solid Waste Management</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>Total All Operations</b>
<i>Other COPS Expense</i>							
Professional Fees	2,681,305	133,500					2,814,805
Collection	910,000						910,000
Compliance	300,000						300,000
Facilities Cost	5,625,000						5,625,000
Direct Processing	1,700,000						1,700,000
Waste Disposal	7,978,000						7,978,000
Contract Maintenance	100,000						100,000
Replacement Parts	2,180,000						2,180,000
Supplies	555,000						555,000
Safety Programs	220,000						220,000
Insurance	1,018,500	31,500					1,050,000
Cooperative Marketing		5,811,744					5,811,744
Recycling Grants		1,168,857					1,168,857
Recycling Supplies		15,000					15,000
Trustee Fees	275,000						275,000
<b>Total Other COPS Expense</b>	<b>23,542,805</b>	<b>7,160,601</b>	-	-	-	-	<b>30,703,406</b>

# Debt Service Schedule - Principal

Bergen County Utilities Authority

If Authority has no debt, X this box

	Fiscal Year Ending in							Total Principal Outstanding	
	Adopted Budget Year 2019 Amended	Proposed Budget Year 2020	2021	2022	2023	2024	2025		Thereafter
<b>Water Pollution Control</b>									
Bonds	\$ 9,965,000	\$ 10,455,000	\$ 10,975,000	\$ 5,180,000	\$ 5,435,000	\$ 5,725,000	\$ 6,005,000	\$ 37,475,000	\$ 81,250,000
Intergovernmental Loans	7,084,614	7,169,607	7,244,620	6,835,664	6,800,143	6,916,785	6,999,438	9,301,512	51,267,769
Type in Issue Name									
Type in Issue Name									
Total Principal	17,049,614	17,624,607	18,219,620	12,015,664	12,235,143	12,641,785	13,004,438	46,776,512	132,517,769
<b>Solid Waste Management</b>									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Principal									
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Principal									
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Principal									
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Principal									
N/A									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Type in Issue Name									
Total Principal									
<b>TOTAL PRINCIPAL ALL OPERATIONS</b>	\$ 17,049,614	\$ 17,624,607	\$ 18,219,620	\$ 12,015,664	\$ 12,235,143	\$ 12,641,785	\$ 13,004,438	\$ 46,776,512	\$ 132,517,769

Indicate the Authority's most recent bond rating and the year of the rating by ratings service.

<b>Moody's</b>	<b>Fitch</b>	<b>Standard &amp; Pears</b>
AA3	Not Applicable	AA-
2019	Never	2014

# Debt Service Schedule - Principal (Detail)

## Bergen County Utilities Authority

If Authority has no debt X this box

	Fiscal Year Ending In							Total Principal Outstanding	
	Adopted Budget Year 2019	Proposed Budget Year 2020	2021	2022	2023	2024	2025		Thereafter
<b>Water Pollution Control</b>									
<b>Authority Bonds</b>									
2007 Series A									
2008 Series									
2014 BCIA Series									
2014 BCIA Refunding	620,000	650,000	685,000	1,075,000	1,130,000	1,190,000	1,245,000	1,310,000	5,950,000
2014 Refunding	485,000	510,000	535,000	1,615,000	1,690,000	1,780,000	1,870,000	12,895,000	20,895,000
2016 BCIA Refunding	1,690,000	1,755,000	1,830,000	1,920,000	2,015,000	2,125,000	2,230,000	19,430,000	31,305,000
2017 BCIA Refunding (2007A)	7,170,000	7,540,000	7,925,000						15,465,000
2018 BCIA Bonds				570,000	600,000	630,000	660,000	3,840,000	6,300,000
<b>Total Principal - Bonds</b>	<b>9,965,000</b>	<b>10,455,000</b>	<b>10,975,000</b>	<b>5,180,000</b>	<b>5,435,000</b>	<b>5,725,000</b>	<b>6,005,000</b>	<b>37,475,000</b>	<b>81,250,000</b>
<b>Authority Intergovernmental Loans</b>									
2005 NJET	773,968	778,862	781,412	310,126	187,327	196,082	201,744	-	2,455,553
2006 NJET	4,392,440	4,437,006	4,491,855	4,537,950	4,593,167	4,659,002	4,716,565	3,381,667	30,817,212
2007 NJET	72,593	85,201	83,390	81,125	78,861	89,994	87,548	169,760	675,879
2008 NJET	1,378,138	1,397,063	1,411,488	1,424,988	1,449,313	1,470,232	1,482,106	1,306,219	9,941,409
2010A NJET	131,636	135,636	135,636	140,636	145,636	145,636	150,636	629,544	1,483,360
2012 NJET	64,521	64,521	64,521	64,521	64,521	69,521	69,521	364,823	761,949
2016 NJET	271,318	271,318	276,318	276,318	281,318	286,318	291,318	3,449,499	5,132,407
<b>Total Principal - Loans</b>	<b>7,084,614</b>	<b>7,169,607</b>	<b>7,244,620</b>	<b>6,835,664</b>	<b>6,800,143</b>	<b>6,916,785</b>	<b>6,999,438</b>	<b>9,301,512</b>	<b>51,267,769</b>
<b>TOTAL PRINCIPAL</b>	<b>\$ 17,049,614</b>	<b>\$ 17,624,607</b>	<b>\$ 18,219,620</b>	<b>\$ 12,015,664</b>	<b>\$ 12,235,143</b>	<b>\$ 12,641,785</b>	<b>\$ 13,004,438</b>	<b>\$ 46,776,512</b>	<b>\$ 132,517,769</b>

Increased by:  
2020 Budgeted Principal Payments Rounding

17,049,614

4

Future Principal Due as of 12/31/2018 (1)

\$ 149,567,987

(1) - Agrees to December 31, 2018 Report of Audit.



## Debt Service Schedule - Interest (Detail)

If Authority has no debt X this box

	Fiscal Year Ending In										Total Principal Outstanding	
	Adopted Budget Year 2019	Proposed Budget Year 2020	2021	2022	2023	2024	2025	Thereafter				
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$		
<b>Water Pollution Control</b>												
<b>Authority Bonds</b>												
2007 Series A												
2008 Series												
2014 BCIA Series	297,500	297,500	297,500	297,500	243,750	187,250	127,750	65,500			1,516,750	
2014 BCIA Refunding	97,750	66,750	34,250								101,000	
2014 Refunding	856,113	831,863	806,363	779,613	698,863	614,363	525,363	1,461,115			5,717,543	
2016 BCIA Refunding	1,396,775	1,312,275	1,224,524	1,133,025	1,037,025	936,274	830,025	4,201,900			10,675,048	
2017 BCIA Refunding (2007A)	952,500	584,750	198,125								782,875	
2018 BCIA Bonds	266,000	315,000	315,000	315,000	286,500	256,500	225,000	594,750			2,307,750	
<b>Total Interest - Bonds</b>	<b>3,866,638</b>	<b>3,408,138</b>	<b>2,875,762</b>	<b>2,525,138</b>	<b>2,266,138</b>	<b>1,994,387</b>	<b>1,708,138</b>	<b>6,323,265</b>			<b>21,100,956</b>	
<b>Authority Intergovernmental Loans</b>												
2005 NJET	50,704	44,263	37,580	30,672	23,406	15,913	8,070				159,904	
2006 NJET	424,481	378,182	330,056	279,911	227,813	173,596	120,771	61,592			1,571,921	
2007 NJET	10,550	9,700	8,600	7,500	6,400	5,300	4,000	4,050			45,550	
2008 NJET	169,465	152,965	135,615	117,465	98,465	78,415	57,365	50,085			690,375	
2010A NJET	33,960	31,480	28,840	26,200	23,560	20,320	17,280	36,080			183,560	
2012 NJET	9,866	9,116	8,366	7,616	6,866	6,116	5,116	13,604			56,800	
2016 NJET	72,900	70,300	67,050	63,550	60,050	56,300	52,300	303,050			672,600	
<b>Total Interest - Loans</b>	<b>771,926</b>	<b>696,006</b>	<b>616,107</b>	<b>532,914</b>	<b>446,360</b>	<b>355,960</b>	<b>264,902</b>	<b>468,461</b>			<b>3,380,710</b>	
<b>TOTAL INTEREST</b>	<b>\$ 4,638,564</b>	<b>\$ 4,104,144</b>	<b>\$ 3,491,869</b>	<b>\$ 3,058,052</b>	<b>\$ 2,712,498</b>	<b>\$ 2,350,347</b>	<b>\$ 1,973,040</b>	<b>\$ 6,791,726</b>			<b>\$ 24,481,676</b>	

Increased by:  
2019 Budgeted Interest Payments 4,638,564  
Decreased by:  
Rounding (4)

Future Interest Due as of 12/31/2018 (1) \$ 29,120,236

(1) - Agrees to December 31, 2018 Report of Audit.

# Net Position Reconciliation

Bergen County Utilities Authority  
 For the Period January 1, 2020 to December 31, 2020

## FY 2020 Proposed Budget

	Water Pollution Control	Solid Waste Management	N/A	N/A	N/A	Total All Operations
<b>TOTAL NET POSITION BEGINNING OF LATEST AUDIT REPORT YEAR(1)</b>	\$ (60,379,802)	\$ (4,164,519)				\$ (64,544,321)
Less: Invested in Capital Assets, Net of Related Debt (1)	62,348,539					62,348,539
Less: Restricted for Debt Service Reserve (1)	13,863,138					13,863,138
Less: Other Restricted Net Position (1)	3,883,676	14,851				3,898,527
Total Unrestricted Net Position (1)	(140,475,155)	(4,179,370)				(144,654,525)
Less: Designated for Non-Operating Improvements & Repairs						
Less: Designated for Rate Stabilization						
Less: Other Designated by Resolution	12,300,000					12,300,000
Plus: Accrued Unfunded Pension Liability (1)	39,431,535	1,953,365				41,384,900
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)	135,795,864	4,199,872				139,995,736
Plus: Estimated Income (Loss) on Current Year Operations (2)	13,947,149	(715,181)				13,231,968
Plus: Other Adjustments (attach schedule)	(8,711,154)	210,498				(8,500,656)
<b>UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET</b>	27,688,239	1,469,184				29,157,423
Unrestricted Net Position Utilized to Balance Proposed Budget	1,000,000	654,137				1,654,137
Unrestricted Net Position Utilized in Proposed Capital Budget	-	-				-
Appropriation to Municipality/County (3)	-	-				-
Total Unrestricted Net Position Utilized in Proposed Budget	1,000,000	654,137				1,654,137
<b>PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR</b>						
Last issued Audit Report (4)	\$ 26,688,239	\$ 815,047	\$ -	\$ -	\$ -	\$ 27,503,286

(1) Total of all operations for this line item must agree to audited financial statements.

(2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.

(3) Amount may not exceed 5% of total operating appropriations. See calculation below.

Maximum Allowable Appropriation to Municipality/County \$ 3,569,644 \$ 462,637 \$ - \$ - \$ - \$ 4,032,280  
 (4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

# Net Position Reconciliation - Other Adjustments

Bergen County Utilities Authority  
 For the Period January 1, 2019 to December 31, 2019

## FY 2020 Proposed Budget

	Water Pollution Control	Solid Waste Management	N/A	N/A	N/A	Total All Operations
<b>Other Adjustments</b>						
Inventory - 12/31/2018	(3,795,593)					(3,795,593)
Deferred Outflows - Net Pension Liability - 12/31/2018	(9,528,836)	(472,041)				(10,000,877)
Deferred Inflows - Net Pension Liability - 12/31/2018	13,254,125	656,586				13,910,711
Estimated Increase in Net Investment in Capital Assts - 12/31/2019	(5,000,000)					(5,000,000)
Deficit in Self Insurance Net Position - 12/31/2018	839,150	25,953				865,103
Estimated Current Year Restricted for Operating Reserve	(4,480,000)					(4,480,000)
<b>Total Other Adjustments</b>	<b>(8,711,154)</b>	<b>210,498</b>				<b>(8,500,656)</b>

2020  
Bergen County  
Utilities Authority  
(Name)

AUTHORITY  
CAPITAL  
BUDGET/  
PROGRAM

# 2019 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM

## Bergen County Utilities Authority (Name)

FISCAL YEAR: FROM: January 1, 2020 TO: December 31, 2020

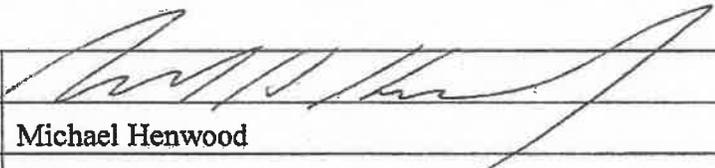
enter X to the left if this paragraph is applicable

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Bergen County Utilities Authority, on the 24th day of October, 2019.

OR

enter X to the left if this paragraph is applicable

It is hereby certified that the governing body of the \_\_\_\_\_ Authority have elected NOT to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s):  
\_\_\_\_\_  
\_\_\_\_\_

Officer's Signature:			
Name:	Michael Henwood		
Title:	Secretary		
Address:	Foot of Mehrhof Road Little Ferry, NJ 07643		
Phone Number:	201-807-8663	Fax Number:	201-641-7915
E-mail address	Mhenwood@bcua.org		

# 2020 CAPITAL BUDGET/PROGRAM MESSAGE

## Bergen County Utilities Authority (Name)

FISCAL YEAR: FROM: January 1, 2020 TO: December 31, 2020

1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included within the Capital Budget/Program (This may include the governing body or certain officials such as planning boards, Construction Code Officials) as to these Projects?

Yes

2. Has each capital project/project financing been developed from a specific capital improvement plan or report; does it include full lifecycle costs; and is it consistent with appropriate elements of Master Plans or other plans in the jurisdiction(s) served by the authority?

Yes

3. Has a long-term (5 years or more) infrastructure needs and other capital items (Vehicles, Equipment) needs assessment been prepared?

No, a short term plan for the next five years has been developed.

4. If amounts are on Page CB-3 in the column Debt Authorizations. Indicate the primary source of funding the debt service for the Debt Authorizations (Example Rate Increases Funding or Other sources)

The proposed 2020 budget includes approximately \$21,729,000 for Debt Service related to capital projects, an increase of approximately \$41,000 from 2019 budgeted project related Debt Service. No increase in service charges is required to fund capital projects.

5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan.

Not applicable

6. Please indicate which capital projects/project financings are being undertaken within the boundary of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan.

Not applicable

*Add additional sheets if necessary.*

# Proposed Capital Budget

Bergen County Utilities Authority  
For the Period January 1, 2020 to December 31, 2020

## Funding Sources

	Estimated Total Cost	Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<b>Water Pollution Control</b>						
See Attached Schedule	\$ 88,767,993			\$ 52,512,878	\$ 36,255,115	\$ -
Type in Description	-					
Type in Description	-					
Type in Description	-					
<b>Total</b>	<b>88,767,993</b>	-	-	<b>52,512,878</b>	<b>36,255,115</b>	-
<b>Solid Waste Management</b>						
Type in Description	-					
Type in Description	-					
Type in Description	-					
Type in Description	-					
<b>Total</b>	<b>-</b>	-	-	-	-	-
<b>N/A</b>						
Type in Description	-					
Type in Description	-					
Type in Description	-					
Type in Description	-					
<b>Total</b>	<b>-</b>	-	-	-	-	-
<b>N/A</b>						
Type in Description	-					
Type in Description	-					
Type in Description	-					
Type in Description	-					
<b>Total</b>	<b>-</b>	-	-	-	-	-
<b>N/A</b>						
Type in Description	-					
Type in Description	-					
Type in Description	-					
Type in Description	-					
<b>Total</b>	<b>-</b>	-	-	-	-	-
<b>N/A</b>						
Type in Description	-					
Type in Description	-					
Type in Description	-					
Type in Description	-					
<b>Total</b>	<b>-</b>	-	-	-	-	-
<b>TOTAL PROPOSED CAPITAL BUDGET</b>	<b>\$ 88,767,993</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 52,512,878</b>	<b>\$ 36,255,115</b>	<b>\$ -</b>

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

# Proposed Capital Budget - Detail

Bergen County Utilities Authority

For the Period January 1, 2020 to December 31, 2020

Water Pollution Control	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
Digester Gas Membranes/Improvements	17,217,688			\$ 7,303,273	\$ 9,914,415	
Buried Infrastructure Assessment	354,000			354,000		
I/I Elimination	100,000			100,000		
Collection System GIS	80,000			80,000		
CSO LTCP Study	100,000			100,000		
ERP Software Upgrade	1,000,000			1,000,000		
Facility Assessment	1,000,000			1,000,000		
Misc Improvement from Assessment	1,000,000			1,000,000		
Plant-wide Replace/Repair Roofs/Windows and others	224,188			224,188		
SOP Update	300,000			300,000		
Replace/Repair Roofs/Windows + HVAC	7,456,792			7,456,792		
Blackstart	1,000,000				1,000,000	
FEMA Mitigation Projects	7,166,667			71,667	7,095,000	
FEMA Restoration Projects	1,730,000			17,300	1,712,700	
Substations	16,450,000			164,500	16,285,500	
Cogeneration Engine 3 Retainage	836,002			836,002		
Cogeneration Engine 3	79,000			79,000		
Cogeneration Engine Rehab	1,035,156			1,035,156		
Aeration Tank Headers	1,950,000			1,950,000		
FST Improvements	6,300,000			6,300,000		
Force Main Improvements	1,300,000			1,300,000		
Wastewater Management Plan	300,000			300,000		
Air Pollution Cogeneration	160,000			160,000		
Fats, Oils, Grease	250,000			2,500	247,500	
Primary Settling Tanks Repair	2,920,000			2,920,000		
Harrington Park Main PS Odor	200,000			200,000		
P.S. UST Removal and Gas Conversion	1,208,500			1,208,500		
MSP Bldg No. 2 Inlet Valve and Piping Replacement	2,450,000			2,450,000		
Edgewater PS/FM	2,000,000			2,000,000		
Edgewater PS/FM Easements	9,900,000			9,900,000		
HVAC for Grit (Plant Improvement)	250,000			250,000		
Investigate Bar Screen/Repair/Replace	500,000			500,000		
Polymer Feed System	975,000			975,000		
RAS System Upgrade	975,000			975,000		
<b>Total</b>	<b>\$ 88,767,993</b>			<b>\$ 52,512,878</b>	<b>\$ 36,255,115</b>	

## 5 Year Capital Improvement Plan

Bergen County Utilities Authority  
For the Period January 1, 2020 to December 31, 2020

*Fiscal Year Beginning In*

	Estimated Total Cost	Current Budget					2025
		Year 2020	2021	2022	2023	2024	
<i>Water Pollution Control</i>							
See Attached Schedule	\$ 231,478,845	\$ 88,767,993	\$ 73,821,956	\$ 63,178,896	\$ 4,710,000	\$ 1,000,000	\$ -
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
<b>Total</b>	<b>231,478,845</b>	<b>88,767,993</b>	<b>73,821,956</b>	<b>63,178,896</b>	<b>4,710,000</b>	<b>1,000,000</b>	<b>-</b>
<i>Solid Waste Management</i>							
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>N/A</i>							
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>N/A</i>							
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>N/A</i>							
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
Type In Description	-	-	-	-	-	-	-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL</b>	<b>\$ 231,478,845</b>	<b>\$ 88,767,993</b>	<b>\$ 73,821,956</b>	<b>\$ 63,178,896</b>	<b>\$ 4,710,000</b>	<b>\$ 1,000,000</b>	<b>\$ -</b>

*Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.*

# 5 Year Capital Improvement Plan - Detail

Bergen County Utilities Authority  
 For the Period January 1, 2020 to December 31, 2020  
 Fiscal Year Beginning in

	Estimated Total Cost	Current Budget Year 2020	2021	2022	2023	2024	2025
<b>Water Pollution Control</b>							
Digester Gas Membranes/Improvements	\$ 35,705,150	\$ 17,217,688	\$ 12,404,232	\$ 6,083,230	\$ -	\$ -	\$ -
Buried Infrastructure Assessment	354,000	354,000					
I/I Elimination	3,200,000	100,000	100,000	1,000,000	1,000,000	1,000,000	
Collection System GIS	130,000	80,000	50,000				
CSO LTCP Study	100,000	100,000					
ERP Software Upgrade	1,800,000	1,000,000	800,000				
Facility Assessment	1,000,000	1,000,000					
Misc Improvement from Assessment	4,000,000	1,000,000	1,000,000	1,000,000			
Harrington Park Actuators	345,000	345,000					
Lagoon Dock Replacement	500,000	224,188					
Plant-wide Replace/Repair Roofs/Windows and others	224,188	300,000	200,000	512,400			
SOP Update	512,400	7,456,792					
Sludge Road (formerly Site Walks, Paving)	7,456,792	1,000,000	1,000,000	759,000			
Replace/Repair Roofs/Windows + HVAC	2,759,000	21,500,000	7,166,667	7,166,666			
Blackstart	21,500,000	1,730,000	1,730,000				
FEMA Mitigation Projects	3,460,000	16,450,000	16,450,000				
FEMA Restoration Projects	49,350,000	836,002					
Substations	836,002	79,000					
Cogeneration Engine 3 Retainage	79,000	1,035,157					
Cogeneration Engine 3	2,070,313	1,950,000					
Cogeneration Engine Rehab	1,950,000	6,300,000					
Aeration Tank Headers	6,300,000	1,300,000	900,000				
FST Improvements	2,200,000	300,000					
Force Main Improvements	300,000	160,000	1,000,000	1,840,000			
Wastewater Management Plan	3,000,000	250,000					
Air Pollution Cogeneration	1,500,000	2,920,000	2,920,000	2,920,000			
Fats, Oils, Grease	8,760,000	200,000					
Primary Settling Tanks Repair	200,000	1,208,500					
Harrington Park Main PS Odor	2,417,000	2,450,000	1,208,500				
P.S. UST Removal and Gas Conversion	2,450,000	2,000,000	23,750,000	23,750,000			
MSP Bldg No. 2 Inlet Valve and Piping Replacement	49,500,000	9,900,000					
Edgewater PS/RM	9,900,000	250,000					
Edgewater PS/RM Essements	2,920,000	500,000					
Sludge Storage Tank Covers	250,000						
HVAC for Grlt (Plant Improvement)	500,000						
Investigate Bar Screen/Repair/Replace	1,500,000						
AT Sluice Gates	975,000	975,000	750,000	750,000			
Polymer Feed System	975,000						
RAS System Upgrade	975,000						
<b>Total</b>	<b>231,478,845</b>	<b>88,767,993</b>	<b>73,821,956</b>	<b>63,178,896</b>	<b>4,710,000</b>	<b>1,000,000</b>	<b>-</b>

## 5 Year Capital Improvement Plan Funding Sources

### Bergen County Utilities Authority

For the Period January 1, 2020 to December 31, 2020

	Estimated Total Cost	Funding Sources			
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants Other Sources
<b>Water Pollution Control</b>					
See Attached Schedule	\$ 231,478,845			\$ 133,094,445	\$ 98,384,400
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	231,478,845	-	-	133,094,445	98,384,400
<b>Solid Waste Management</b>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<b>N/A</b>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<b>N/A</b>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<b>N/A</b>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<b>N/A</b>					
Type in Description	-				
Type in Description	-				
Type in Description	-				
Type in Description	-				
Total	-	-	-	-	-
<b>TOTAL</b>	<u>\$ 231,478,845</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 133,094,445</u>	<u>\$ 98,384,400</u>
Total 5 Year Plan per CB-4	<u>\$ 231,478,845</u>				
Balance check					

- If amount is other than zero, verify that projects listed above match projects listed on CB-4.

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

# 5 Year Capital Improvement Plan Funding Sources - Detail

Bergen County Utilities Authority  
For the Period January 1, 2020 to December 31, 2020

		Funding Sources				
Water Pollution Control	Estimated Total Cost	Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
	\$ 35,705,150			\$ 15,145,150	\$ 20,560,000	
Digester Gas Membranes/Improvements	354,000			354,000		
Buried Infrastructure Assessment	3,200,000			3,200,000		
I/I Elimination	130,000			130,000		
Collection System GIS	100,000			100,000		
CSO LTCP Study	1,800,000			1,800,000		
ERP Software Upgrade	1,000,000			1,000,000		
Facility Assessment	4,000,000			4,000,000		
Misc Improvement from Assessment	345,000			345,000		
Harrington Park Actuators	500,000			500,000		
Lagoon Dock Replacement	224,188			224,188		
Plant-wide Replace/Repair Roofs/Windows and others	500,000			500,000		
SOP Update	512,400			512,400		
Sludge Road (formerly Site Walks, Paving)	7,456,792			7,456,792		
Replace/Repair Roofs/Windows + HVAC	2,759,000			2,759,000		
Blackstart	21,500,000			21,500,000		
FEMA Mitigation Projects	3,460,000			3,460,000		
FEMA Restoration Projects	49,350,000			49,350,000		
Substations	836,002			836,002		
Cogeneration Engine 3 Retainage	79,000			79,000		
Cogeneration Engine 3	2,070,313			2,070,313		
Cogeneration Engine Rehab	1,950,000			1,950,000		
Aeration Tank Headers	6,300,000			6,300,000		
FST Improvements	2,200,000			2,200,000		
Force Main Improvements	300,000			300,000		
Wastewater Management Plan	3,000,000			3,000,000		
Air Pollution Cogeneration	1,500,000			1,500,000		
Fats, Oils, Grease	8,760,000			8,760,000		
Primary Settling Tanks Repair	200,000			200,000		
Harrington Park Main PS Odor	2,417,000			2,417,000		
P.S. UST Removal and Gas Conversion	2,450,000			2,450,000		
MSP Bldg No. 2 Inlet Valve and Piping Replacement	49,500,000			49,500,000		
Edgewater PS/FM	9,900,000			9,900,000		
Edgewater PS/FM Easements	2,920,000			2,920,000		
Sludge Storage Tank Covers	250,000			250,000		
HVAC for Grit (Plant Improvement)	500,000			500,000		
Investigate Bar Screen/Repair/Replace	1,500,000			1,500,000		
AT Sluice Gates	975,000			975,000		
Polymer Feed System	975,000			975,000		
RAS System Upgrade	975,000			975,000		
<b>Total</b>	<b>231,478,845</b>			<b>133,094,445</b>	<b>98,384,400</b>	